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Technical Report

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January 2003

Description
and
Specifications
for the
Linked NLS-Institutional Data Base

National Longitudinal Study of
the High School Class of 1972

A Technical Report

Prepared by
Laurence J. Tenison

NLSIC INSTITUTIONAL DATA BASE

Washington Office
College Entrance Examination Board
Washington, D. C. 20036

March, 1976

This is the
cover of the
documentation
needed for this
data file. This
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Format of Institutional Data Base

Revised February 13, 1976

Variable No. - Name

Location

1) FICE Code	1-6
2) Tripartite Type (zero unless Tripartite is present)	7
3) Tripartite Control (zero unless Tripartite is present)	8
4) 1972 Enrollments	9-13
5) State	14-15
6) Race of Institution	16
7) Control/Type (zero unless HED present)	17-18
8) Sex of Institution	19
9) Tuition and Fees - 1972	20-24
10) CEEB Type	25
11) IFLAG	26-27
12) Institutional Aid	28-35
13) Fall 1972 UG Full-Time Students	36-41
14) Fall 1972 UG Part-Time Students	42-46
15) Fall 1973 Graduates	47-51
16) Total Full-Time UG FY 1974	52-57
17) Full-Time UG - \$0-6,000	58-62
18) Full Time UG - \$6,000-9,000	63-67
19) Full-Time UG - \$9,000-12,000	68-72
20) Full-Time UG - \$12,000 and up	73-77
21) Total Part-Time UG FY 1974	78-82
22) Part-Time UG - \$0-6,000	83-87
23) Part-Time UG - \$6,000-9,000	88-92
24) Part-Time UG - \$9,000-12,000	93-97
25) Part-Time UG - \$12,000 and up	98-102
26) Weighted Cost per Student 1972-73	103-107

1973-74 Higher Ed.
Directory

1973-74 Tripartite Report

Variable No. - Name

Location

27) SEOG Renewals - 1972-73 Total	108-114
28) Estimated NDSL Expenditures - 1972-73	115-121
29) Estimated Unduplicated Students Aided - 1972-73	122-126
30) Estimated CWS Students - 1972-73 Total	127-131
31) CWS Students - \$0-6,000	132-136
32) CWS Students - \$6,000-9,000	137-141
33) CWS Students - \$9,000-12,000	142-146
34) CWS Students - \$12,000 and up	147-151
35) CWS Expenditures Estimated 1972-73 Total	152-158
36) CWS Expenditures - \$0-6,000	159-165
37) CWS Expenditures - \$6,000-9,000	166-172
38) CWS Expenditures - \$9,000-12,000	173-179
39) CWS Expenditures - \$12,000 and up	180-186
40) SEOG Initial Students 1972-73 Total	187-191
41) SEOG Initial Students 1972-73 - \$0-6,000	192-196
42) SEOG Initial Students - \$6,000-9,000	197-201
43) SEOG Initial Expenditures 1972-73 Total	202-208
44) SEOG Expenditures - \$0-6,000	209-215
45) SEOG Expenditures - \$6,000-9,000	216-222
46) Tuition 1972-73	223-227
47) Room and Board Expenses 1972-73	228-232
48) Books and Supplies Expenses 1973-74	233-237
49) Personal Expenses 1973-74	238-242
50) Transportation Expenses 1973-74	243-247

<u>Variable No. - Name</u>	<u>Location</u>
51) 1972 FTE Resident Undergraduate Degree Credit	248-253
52) Total Educational and General Revenues	254-261
53) Student Tuition and Fees	262-269
54) Total Governmental Appropriations	270-277
55) Total Federal Appropriations	278-285
56) Federal Appropriations through State Channels	286-293
57) Federal Appropriations through Other Channels	294-301
58) Total State Appropriations	302-309
59) Total Local Appropriations	310-317
60) Endowment Income	318-325
61) Private Gifts	326-333
62) Total Student Aid Grants	334-341
63) Federal Student Aid Grants	342-349
64) State Student Aid Grants	350-357
65) Local Student Aid Grants	358-365
66) Private Student Aid Grants and Gifts	366-383
67) Endowment Income	374-381
68) Other Student Aid Grants	382-389
69) Total Educational and General Expenditures	390-397
70) Instructional and Departmental Research	398-405
71) Organized Departmental Activities	406-413
72) Student Aid Grant Expenditures	414-421
73) Endowment Earnings	422-429
74) ACT Score	430-431
75) NMSQT Composite	432-434
76) SAT Total	435-438

1972 HEGIS

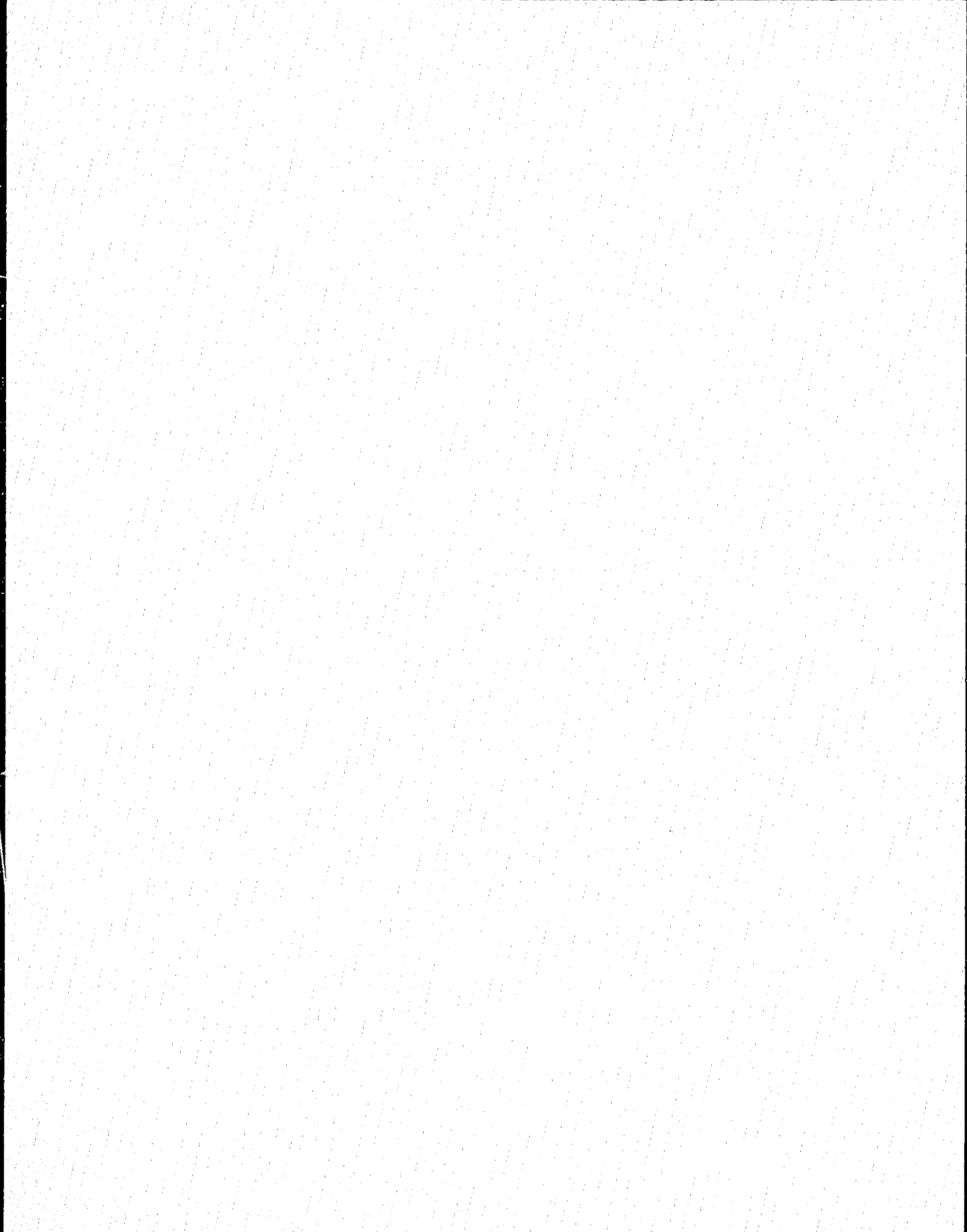
ACE

Variable No. - Name

Location

ACE

77)	ACT Composite	439-440
78)	ACT Composite Imputation Code	441
79)	SAT-V	442-444
80)	SAT-V Imputation Code	445
81)	SAT-M	446-448
81)	SAT-M Imputation Code	449
83)	Carnegie Institutional Type	450-451
84)	Imputation Code	452



Description
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BASIC INSTITUTIONAL SOURCE FILE

Washington Office
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The work described in this report has been supported by the National Center for Education Statistics, Department of Health, Education and Welfare; The Ford Foundation; and the College Entrance Examination Board.

I. Introduction

For many years, data have been collected on the attributes of students attending postsecondary institutions. At the same time, information about postsecondary institutions has been collected and published. Rarely, however, have the two sources been linked in any useful manner. While it has been possible to look at what "type" of institution a student of given attributes would attend, it has seldom been possible to examine the attributes of students and institutions which chose one another.

The National Longitudinal Study of the High School Class of 1972 (NLS) collected information from a sample student cohort including, among other items, the names of any postsecondary institutions applied to and/or attended during the first two years after high school. Using these names as a match key, a supplementary data file containing institutional information from four sources has been created to link NLS student data with institutional data. Some important policy-related questions such as the manner in which different institutions package financial aid to the same student can be studied using the linked NLS-Institutional data base.

This report details the creation of the NLS-Institutional data base, explains its contents, and provides technical specifications for its use. Every effort has been made to make this documentation informative and readable, in the hope that other users of the NLS will avail themselves of the opportunity to use the linked NLS-Institutional data.

II. Preparation of Basic Institutional Source File

The first step in the process of creating the linked NLS-Institutional data base was to merge available data from four sources -- the 1973-74 Higher Education Directory, the 1973-74 Tripartite Application Data File, the 1972-73 HEGIS Finance Survey and the 1972 ACE Institutional Characteristics File -- into a source file

of institutional data ordered by institution. This section describes each data set and discusses some of the changes and additions made to the original data.

A. Merge-Step 1 - Combination of HED and Tripartite Data

The 1973-74 Higher Education Directory (HED) was used as the base for the institutional source file. Eight variables were written into the source file from each HED institutional record -- FICE code, state, type, control, race, sex, undergraduate enrollments, and nominal fees.

To these data were added information from the 1973-74 Tripartite Application Data File, which contains detailed information on enrollments, financial aid applicants, and financial aid awards by income class. Appendix D contains a copy of the Tripartite survey document.

For institutions in both the HED and Tripartite files, Tripartite information was simply added to the existing source file record. For other institutions, that part of the record corresponding to missing data was zero-filled to maintain constant record length. In either case, schools appearing in either the HED or the Tripartite were retained in the institutional source file.

Upon completion of the HED-Tripartite combination a special type/control variable was developed from existing information and added to each source file record. This variable takes on the following values:

<u>Value</u>	<u>Meaning</u>
0	No HED or Tripartite data present
1	Public University
2	Public other Four-Year
3	Public Two-Year
4	Private University
5	Private other Four-Year
6	Private Two-Year
7	Proprietary Institution
8	Vocational/Technical Institution
9	Other (e.g., professional, religious schools)

The primary source for the above taxonomy were the HED type and control variables. For schools with no HED information (i.e., Tripartite only) the code was derived from the Tripartite type and control variables.¹ Codes 7, 8, and 9 were available only from the Tripartite information. For schools with no Tripartite information all two-year institutions were checked and recoded if found to be vocational schools. No attempt was made to reassign schools without Tripartite data to categories 7 and 9. At a later stage, Code 0 was added to account for schools which appeared on the HEGIS or ACE surveys only.

A second change performed during the initial step was the combination of the two HED control and type variables into a single two-digit code for schools with HED data. This variable was coded as follows:

<u>Value</u>	<u>Meaning</u>
0	No HED data present
11	Public University
12	Public other Four-Year Institutions
13	Public Two-Year Institution
14	Public Branch University
15	Public Branch Four-Year
16	Public Branch Two-Year
21	Private University
22	Private other Four-Year Institutions
23	Private Two-Year Institution
24	Private Branch University
25	Private Branch Four-Year
26	Private Branch Two-Year
27	Proprietary

This change simplifies the categorization of HED schools by combining two variables into a single location.

¹Because of the categories available on the Tripartite type and control survey items, responses to these items are less reliable than those on the HED. The HED type and control were used whenever possible in order to minimize reporting errors.

B. Merge-Step 2 - Addition of HEGIS Data to Source File

The 1972-73 Higher Educational General Information Survey (HEGIS) contains information on institutional revenues and expenditures by category. These data were added to the institutional source file in Step 2 of the merging sequence.

For schools already included in the source file (i.e., HED and/or Tripartite institutions), the HEGIS data were added to the existing data. For schools which appeared only in the HEGIS file, the HED and Tripartite portions of the record were zero-filled to maintain constant record length. All HEGIS dollar amount fields were reduced by a factor of 100 to save storage space. Appendix E contains a copy of the HEGIS survey document from which these data were derived.²

C. Merge-Step 3 - Addition of ACE Data to Source File

The 1972 ACE Institutional Characteristics (INCH) file contains information on median ability levels by institution. These data were added to the institutional source file in Step 3 of the merging process. As before, for those institutions already included in the source file, the ACE data were added to the record. For those which appeared only in the ACE file, a record was created with portions zero-filled to correspond to missing data sets.

The final institutional source data file consists of 4,139 institutional records ordered by FICE code. This file serves as the source of all the institutional data linked to the NLS data base, as described in the following section. A special two-digit code was added to each record to identify the data sets available for each institution. This variable is described more fully in Appendix B. Figure 1 shows a schematic layout of a sample record from the institutional source file.

²The survey document shown in Appendix E is for fiscal year 1971-72 but is identical to the questionnaire used to collect the 1972-73 HEGIS data described in this report.

Figure 1

Diagram of Sample Record from Institutional Source File^a

Col. 1-6	Cols. 7-24	Cols. 25-27	Cols. 28-247	Cols. 248-429	Cols. 430-452
/ FICE	HED data	Special Variables ^b	Tripartite data	HEGIS data	ACE data/

^aPortions of each record which correspond to missing data sets have been zero-filled to maintain constant record length.

^bThese variables include the special type/control code (See Page 2) and the data source indicator code (Appendix B)

III. Linking NLS and Institutional Data

The FICE code provides the key for matching of schools reported in the NLS with those contained in the institutional source data file discussed in Section II. The FICE code is a unique number assigned to each postsecondary institution and central office by the U. S. Office of Education. There are at most six FICE codes reported by each NLS respondent, corresponding to the following institutions:

1. School attended, October 1973
2. School attended, October 1972
3. Other school attended
4. First school applied to
5. Second school applied to
6. Third school applied to

464
452
17

Once the relevant institutional data has been matched with each NLS FICE code, the student ID number provides a link between the matched institutional data and the NLS student data.

As a preliminary exercise the FICE codes contained in the NLS Master File were searched for central office or all-campus numbers. All-campus codes present problems because they are codes assigned to non-instructional business offices of multi-campus systems. Since these accounting codes carry no institutional information, they were replaced with the code for the main branch of the institution. This problem is discussed more fully in Appendix C, and in "The Data Check Summary Report," a report to NCES by Alan P. Wagner and Laurence J. Tenison.

This codebook contains the variable specification for each record in the basic institutional source file. The file contains a total of 4,139 institutional records, each 452 characters in length. Each record contains information from up to four different postsecondary surveys--the 1973-74 Higher Education Directory, the 1973-74 Tripartite File, the 1972-73 Higher Education General Information Survey of Financial Characteristics, and the 1972 Institutional Characteristics File from ACE. Over half of all the records in the basic source file have information from all four surveys. The other records contain information from at least one of the surveys with missing sections zero-filled to maintain constant record length.

Variable number 11 has been created to indicate which surveys are available for each institutional record.

<u>NO.</u>	<u>VARIABLE</u>	<u>DESCRIPTION</u>	<u>ACCESS/ LOCATION</u>
1.	FICE	FICE CODE	INST (1) [1,6]
		Value is 6 Digit FICE CODE	
		Source: OE Vendor Number	

<u>NO.</u>	<u>VARIABLE</u>	<u>DESCRIPTION</u>	<u>ACCESS/ LOCATION</u>
2.	TTYPE	TRIPARTITE TYPE 1 = University or Graduate or Prof School 2 = Other 4 Year 3 = Two Year 4 = Area Vocational/Technical School 5 = Other Source: Tripartite, Section 1, Line 3 This field will be zero unless there is Tripartite data present in the following institutional data.	INST (2) [7]
3.	TCNTL	TRIPARTITE CONTROL 1 = Public 2 = Private, Non-profit 3 = Proprietary Source: TRI, Section 1, Line 4 This field will also be zero unless Tripartite data is present. The variables which follow were derived from the 1973-74 Higher Education Directory (HED) published by NCES.	INST (3) [8]
4.	ENR72	Fall 1972 Enrollments Value is number of students Source: HED	INST (4) [9,13]
5.	STATE	State where located Value is 2 digit state code Source: HED This field was augmented with other data to remove missing codes for some schools.	INST (5) [14,15]

AC. VARIABLE DESCRIPTION

ACCESS/
LOCATION

6. RACE PREDOMINANT RACE OF INSTITUTION

INST(6)
[16]

- 1 = PREDOMINANTLY WHITE
- 2 = PREDOMINANTLY BLACK

SOURCE1 HED

7. CCNTYP HED CONTROL/TYPE

INST(7)
[17,18]

- 11 = PUBLIC UNIVERSITY
- 12 = PUBLIC OTHER 4 YEAR
- 13 = PUBLIC 2 YEAR
- 14 = PUBLIC BRANCH UNIVERSITY
- 15 = PUBLIC BRANCH OTHER 4
- 16 = PUBLIC BRANCH 2 YEAR
- 21 = PRIVATE UNIVERSITY
- 22 = PRIVATE OTHER 4 YEAR
- 23 = PRIVATE 2 YEAR
- 24 = PRIVATE BRANCH UNIVERSITY
- 25 = PRIVATE BRANCH OTHER 4
- 26 = PRIVATE BRANCH 2 YEAR
- 27 = PROPRIETARY

SOURCE1 COMBINED HED/TRIPARTITE CONTROL-TYPE

CODE 27 DERIVED FROM TRIPARTITE WHERE POSSIBLE.

8. SEX SEX OF INSTITUTION

INST(8)
[19]

- 1 = MEN
- 2 = WOMEN
- 3 = COED
- 4 = COORDINATED

SOURCE1 HED

9. TUITION TUITION AND FEES FALL 1972

INST(9)
[20,24]

VALUE IS NOMINAL FEES PER STUDENT

SOURCE1 HED

AC. VARIABLE	DESCRIPTION	ACCESS/ LOCATION
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10. CEEBT	COLLEGE BOARD TYPE SPECIFICATION	INST(10) [25]
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0 = CENTRAL OFFICE
 1 = PUBLIC UNIVERSITY
 2 = PUBLIC 4 YEAR
 3 = PUBLIC 2 YEAR
 4 = PRIVATE UNIVERSITY
 5 = PRIVATE 4 YEAR
 6 = PRIVATE 2 YEAR
 7 = PROPRIETARY
 8 = VOCATIONAL
 9 = OTHER

SOURCE: DERIVED FROM HED AND TRIPARTITE CODES

11. IFLAG	DATA CLASSIFICATION CODE	INST(11) [26,27]
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1 = ALL FOUR SETS AVAILABLE	2,097 CASES
2 = HED, TRI AND HEGIS ONLY	190
3 = HED AND TRI ONLY	88
4 = HED, TRI AND ACE ONLY	37
5 = HED ONLY	145
6 = HED, HEGIS AND ACE ONLY	327
7 = HED AND HEGIS ONLY	178
8 = HED AND ACE ONLY	71
9 = TRI ONLY	895
10 = TRI, HEGIS AND ACE ONLY	15
11 = TRI AND HEGIS ONLY	4
12 = TRI AND ACE ONLY	2
13 = HEGIS ONLY	34
14 = HEGIS AND ACE ONLY	11
15 = ACE ONLY	55

SOURCE: COMPUTED FROM MATCH PROGRAMS

FOR THOSE SCHOOLS WITH A CODE OTHER THAN 1 PORTIONS OF THE INSTITUTIONAL RECORD CORRESPONDING TO THE MISSING DATA SET(S) WILL BE ZERO.

THE VARIABLES WHICH FOLLOW WERE TAKEN FROM THE 1972-73 TRIPARTITE APPLICATION DATA FILE.

BASIC INSTITUTIONAL SOURCE FILE

PAGE 6

<u>NO.</u>	<u>VARIABLE</u>	<u>DESCRIPTION</u>	<u>ACCESS/ LOCATION</u>
12.	INSAID	INST AID EXPENSES FY 1972 VALUE IS DOLLARS SOURCE: TRI, SECTION 1, ITEM 26 OR 29	INST(12) [28,35]
13.	F72FTU	FALL 1972 FT UNDERGRADS VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 2, LINE 32(B)	INST(13) [36,41]
14.	F72HTU	FALL 1972 HT UNDERGRADS VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 2, LINE 33(B)	INST(14) [42,46]
15.	F73GRD	FALL 1973 GRAD STUDENTS VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 2, LINE 34(C)	INST(15) [47,51]
16.	FY74FT	FT UNDERGRADS FY 1974 VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 3, PART A, LINE 35(A)	INST(16) [52,57]
17.	FTU26	FT UNDRDS FY 74 \$2-6000 VALUE IS NUMBER OF STUDENTS \$2-6,200 INCOME SOURCE: TRI, SECT 3, PART A, LINE 35(B)	INST(17) [58,62]
18.	FTU69	FT UNDRDS FY 74 \$6-9000 VALUE IS NUMBER OF STUDENTS \$6-9,200 INCOME SOURCE: TRI, SECT 3, PART A, LINE 35(C)	INST(18) [63,67]

BASIC INSTITUTIONAL SOURCE FILE

PAGE 7

AC. VARIABLE -----	DESCRIPTION -----	ACCESS/ LOCATION -----
19. FTU912	FT UNGRDS FY 74 39-12000 VALUE IS NUMBER OF STUDENTS 19-12,000 INCOME SOURCE: TRI, SECT 3, PART A, LINE 35(D)	INST(19) [68,72]
20. FTU12U	FT UNGRDS OVER \$12000 VALUE IS NUMBER OF STUDENTS OVER \$12,000 SOURCE: TRI, SECT 3, PART A, LINE 35(E)	INST(20) [73,77]
21. FY74HT	HT UNDERGRADS FY 1974 VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 3, PART A, LINE 36(A)	INST(21) [78,82]
22. HTU06	HT UNGRDS FY 74 30-6000 VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 3, PART A, LINE 36(B)	INST(22) [83,92]
23. HTU69	HT UNGRDS FY 74 36-9000 VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 3, PART A, LINE 36(C)	INST(23) [88,92]
24. HTU912	HT UNGRDS FY 74 39-12000 VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 3, PART A, LINE 36(D)	INST(24) [93,97]
25. HTU12U	HT UNGRDS OVER \$12000 VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 3, PART A, LINE 36(E)	INST(25) [98,102]

BASIC INSTITUTIONAL SOURCE FILE

PAGE 8

NO. VARIABLE	DESCRIPTION	ACCESS/ LOCATION
26. WAC72	WEIGHTED AVE COST PER STUDENT 72-73 VALUE IS AVE COST PER STUDENT SOURCE: TRI, SECT 3, PART A, LINE 44(B)	INST(26) [103,107]
27. SEGR	EST 1972-73 EOG RENEWALS VALUE IS DOLLARS SOURCE: TRI, SECT 3, PART B, LINE 55(B)	INST(27) [108,114]
28. NDSL	EST 1972-73 NDSL EXPENSES VALUE IS DOLLARS SOURCE: TRI, SECT 3, PART B, LINE 59(B)	INST(28) [115,121]
29. UNDS	EST 1972-73 UNDP STUDENTS AIDED VALUE IS NUMBER OF UNDUPLICATED STUDENTS SOURCE: TRI, SECT 3, PART B, LINE 60(B)	INST(29) [122,126]
30. CWS572	EST 72-73 CWS STUDENTS VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 4, PART B, LINE 80(A)	INST(30) [127,131]
31. CWS26	72-73 CWS STUDENTS \$2-6000 VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 4, PART B, LINE 80(B)	INST(31) [132,136]
32. CWS69	72-73 CWS STUDENTS \$6-9000 VALUE IS NUMBER OF STUDENTS SOURCE: TRI, SECT 4, PART B, LINE 80(C)	INST(32) [137,141]

BASIC INSTITUTIONAL SOURCE FILE

PAGE 12

NC. VARIABLE	DESCRIPTION	ACCESS/ LOCATION
40. SGS72	SEOG INITIAL STUDENTS 1972-73 VALUE IS NUMBER OF STUDENTS SOURCE1 TRI, SECT 4, PART C, LINE 87(A)	INST(40) [187,191]
41. SGS66	SEOG INITIAL STUDENTS 72-73 53-6000 VALUE IS NUMBER OF STUDENTS SOURCE1 TRI, SECT 4, PART C, LINE 87(B)	INST(41) [192,196]
42. SGS69	SEOG INITIAL STUDENTS 72-73 56-9000 VALUE IS NUMBER OF STUDENTS SOURCE1 TRI, SECT 4, PART C, LINE 87(C)	INST(42) [197,201]
43. SGE72	SEOG INITIAL EXPENSES 1972-73 VALUE IS DOLLARS SOURCE1 TRI, SECT 4, PART C, LINE 88(A)	INST(43) [202,208]
44. SGE66	SEOG INITIAL EXPENSES 72-73 57-6000 VALUE IS DOLLARS SOURCE1 TRI, SECT 4, PART C, LINE 88(B)	INST(44) [209,215]
45. SCE69	SEOG INITIAL EXPENSES 72-73 56-9000 VALUE IS DOLLARS SOURCE1 TRI, SECT 4, PART C, LINE 88(C)	INST(45) [216,222]
46. TLITN	1972-73 TUITION AND FEES VALUE IS DOLLARS PER STUDENT SOURCE1 TRI, WORKSHEET	INST(46) [223,227]

NO. VARIABLE	DESCRIPTION	ACCESS/ LOCATION
47. RCOMBD	1972-73 ROOM AND BOARD EXPENSES VALUE IS DOLLARS PER STUDENT SOURCE: TRI, WORKSHEET	INST(47) [228,232]
48. BCOKS	1972-73 BOOKS AND SUPPLIES EXPENSES VALUE IS DOLLARS PER STUDENT SOURCE: TRI, WORKSHEET	INST(48) [233,237]
49. PERSEX	1973-74 PERSONAL EXPENSES VALUE IS DOLLARS PER STUDENT SOURCE: TRI, WORKSHEET	INST(49) [238,242]
50. TRANS	1973-74 TRANSPORTATION EXPENSES VALUE IS DOLLARS PER STUDENT SOURCE: TRI, WORKSHEET	INST(50) [243,247]
<p>THE VARIABLES WHICH FOLLOW ARE FROM THE 1972 HEGIS SURVEY, ALL DOLLAR AMOUNT FIELDS HAVE BEEN REDUCED BY A FACTOR OF 100.</p>		
51. FTE72	1972 RESIDENT DEG CREN UNDERGRADS VALUE IS NUMBER OF FTE SOURCE: HED	INST(51) [248,253]
52. ECREV	TOTAL ED AND GENERAL REVENUES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 1	INST(52) [254,261]

<u>NC. VARIABLE</u>	<u>DESCRIPTION</u>	<u>ACCESS/ LOCATION</u>
53. TTNREV	TUITION AND FEES REVENUES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 2	INST (53) [262,269]
54. APPREV	GOVT APPROPRIATIONS REVENUES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 3	INST (54) [270,277]
55. FEDREV	FED APPROPRIATIONS REVENUES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 4	INST (55) [278,285]
56. FSCREV	FED REVS THROUGH STATE CHANNELS VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 5	INST (56) [286,293]
57. FCTREV	OTHER FEDERAL APPROPRIATIONS VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 6	INST (57) [294,301]
58. STEREV	STATE APPROPRIATIONS REVENUES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 7	INST (61) [302,309]
59. LCCREV	LOCAL APPROPRIATIONS REVENUES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 8	INST (59) [310,317]

<u>NC. VARIABLE</u>	<u>DESCRIPTION</u>	<u>ACCESS/ LOCATION</u>
60. ENDINC	ENDOWMENT INCOME REVENUES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 9	INST(60) [318,325]
61. GIFTS	PRIVATE GIFTS REVENUES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 10	INST(61) [326,333]
62. SGRNT	STUDENT AID GRANTS REVENUES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 32	INST(62) [334,341]
63. FGRNT	FEDERAL STUDENT AID GRANT REVS VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 33	INST(63) [342,349]
64. SGRNT	STATE STUDENT AID GRANT REVS VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 34	INST(64) [350,357]
65. LGRNT	LOCAL STUDENT AID GRANT REVS VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 35	INST(65) [358,365]
66. PGRNT	PRIVATE GIFTS AND GRANTS REVS VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 36	INST(66) [366,373]

BASIC INSTITUTIONAL SOURCE FILE

PAGE 14

<u>NC. VARIABLE</u>	<u>DESCRIPTION</u>	<u>ACCESS/ LOCATION</u>
67. ENDGRT	ENDOWMENT INCOME VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 37	INST (67) [374,381]
68. OTHGRT	OTHER STUDENT AID GRANTS REVS VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 38	INST (68) [382,389]
69. EDEXP	TOTAL ED AND GENERAL EXPENSES VALUE IS DOLLARS SOURCE: HEGIS, PART A, LINE 1	INST (69) [390,397]
70. INREXP	INSTRUCTIONAL AND RESRCH EXPENSES VALUE IS DOLLARS SOURCE: HEGIS, PART B, LINE 2	INST (70) [398,405]
71. ACTEXP	ACTIVITIES EXPENSES VALUE IS DOLLARS SOURCE: HEGIS, PART B, LINE 3	INST (71) [406,413]
72. GRTEXP	STUDENT AID GRANT EXPENSES VALUE IS DOLLARS SOURCE: HEGIS, PART B, LINE 11	INST (72) [414,421]

NO. VARIABLE	DESCRIPTION	ACCESS/ LOCATION
73. ENDERN	ENDOWMENT EARNINGS VALUE IS DOLLARS SOURCE: HEGIS, PART E, LINE 5	INST (73) [422,429]
THE VARIABLES WHICH FOLLOW ARE FROM THE 1972 ACE INSTITUTIONAL CHARACTERISTICS FILE.		
74. ACTMED	MEDIAN ACT SCORE VALUE IS ACT SCORE BETWEEN 1 AND 35 SOURCE: ACE, COLS 96-97 MISSING SCORE IS CODED 19	INST (74) [430,431]
75. NMSGT	NMSGT MEDIAN SCORE VALUE IS NMSGT COMPOSITE SCORE BETWEEN 1 AND 165 SOURCE: ACE, COLS 98-120 MISSING SCORE IS CODED 88	INST (75) [432,434]
76. SATVM	TOTAL SAT MEDIAN SCORE VALUE IS SAT SCORE BETWEEN 420-1600 SOURCE: ACE, COLS 121-104 MISSING SCORE IS CODED 850	INST (76) [435,438]
77. ACTCMP	ACT COMPOSITE SCORE VALUE IS ACT SCORE SOURCE: ACE, COLS 136-137	INST (77) [439,440]

<u>AC. VARIABLE</u>	<u>DESCRIPTION</u>	<u>ACCESS/ LOCATION</u>
78. ACTIMP	ACT IMPUTATION CODE 0 = NOT IMPUTED 1 = IMPUTED FROM STRATIFIED CELL MEDIAN SOURCE: ACE, COL 138	INST(78) [441]
79. SATV	SAT VERBAL SCORE VALUE IS SAT SCORE SOURCE: ACE, COL 139-141	INST(79) [442,444]
80. SATVMP	SAT-V IMPUTATION CODE 0 = NOT IMPUTED 1 = IMPUTED FROM CELL MEDIAN SOURCE: ACE, COL 142	INST(80) [455]
81. SATM	SAT MATH SCORE VALUE IS SAT SCORE SOURCE: ACE, COLS 143-145	INST(81) [446,448]
82. SATMMP	SAT-M IMPUTATION CODE 0 = NOT IMPUTED 1 = IMPUTED FROM CELL MEDIAN SOURCE: ACE, COL 146	INST(82) [449]

AC. VARIABLE	DESCRIPTION	ACCESS/ LOCATION
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83. CARNC

CARNEGIE CODE

INST(83)
[450,451]

- 11 = RESEARCH UNIV I
- 12 = RESEARCH UNIV II
- 13 = DOCTORAL UNIV I
- 14 = DOCTORAL UNIV II
- 21 = COMPREHENSIVE UNIV OR COLLEGE I
- 22 = COMPREHENSIVE UNIV OR COLLEGE II
- 31 = LIBERAL ARTS COLLEGE I
- 32 = LIBERAL ARTS COLLEGE II
- 41 = TWO YEAR COLLEGE OR INSTITUTE
- 51 = THEOLOGICAL SEMINARIES
- 52 = MEDICAL SCHOOLS
- 53 = OTHER HEALTH PROFESSIONAL SCHOOL
- 54 = ENGINEERING SCHOOL
- 55 = BUSINESS SCHOOL
- 56 = ART OR MUSIC SCHOOL
- 57 = LAW SCHOOL
- 58 = TEACHER COLLEGE
- 59 = OTHER SPECIALIZED SCHOOL

SOURCE: ACE, COL 554-555

84. CARNMP

CARNEGIE IMPUTATION CODE

INST(84)
[452]

- 0 = NOT IMPUTED
- 1 = IMPUTED

SOURCE: ACE, COL 556

Appendix B

It was decided early on to include as many institutions in the source data file as possible. Since the coverages of the four surveys were all different, a method was devised to flag schools with a code according to which data sets were available for that school. Table B-1 shows the possible combinations of data sets which result from this decision. Case counts of each type have been included to offer a gauge to the magnitude of survey overlap. Slightly over one-half of all institutions in the source data file have all four surveys present.

Table B-1
 DATA CLASSIFICATIONS
 ON
 INSTITUTIONAL SOURCE DATA FILE^a

Code.	Directory	Tripartite	Finance	ACE	Number of Schools
1	X	X	X	X	2,097
2	X	X	X		190
3	X	X			88
4	X	X		X	37
5	X				145
6	X		X	X	307
7	X		X		178
8	X			X	71
9		X			895
10		X	X	X	15
11		X	X		4
12		X		X	0
13			X		34
14			X	X	11
15				X	55
Total	3,113	3,326	2,836	2,593	4,139

^aThere are no missing codes for this variable.

"X" Denotes presence of indicated survey.

Table C-1

List of FICE Codes Replaced
on 1972 NLS File^a

FICE	School	Appearances on NLS						New Code
		1	2	3	4	5	6	
7004	Alan Hancock J.C. District	1	1					1111
7005	Chaffey J.C. District	3	1	1	2			1163
7008	Antelope Valley J.C. District	4		2				1113
7009	Merced J.C. District	1						1237
7011	Lassen Community College District	1			1			1217
7287	Brazosport J.C. District	1	1		1			7857
7956	Montgomery Co. J.C. - all (Takoma Pk., Md.)			2				2081*
7960	Adelphi University - all	2	1		3	3	3	2666
7963	Columbia University - central	3	1	1	4	5	4	2707*
7965	Iona College - all	8	2	1	5	2	3	2737
7976	Yeshiva University - all	7		1	6	1		2903
7991	Loyola University, L.A. - all						1	1234
7995	Mesa College - all (Colo.)	3	2	1	3	1	1	1358
8003	Troy State University - central	2	2			2		1047
8013	Boston College - all	11		1	13	10	10	2138
8036	Ohio University - central	1		1				3100
8054	University of Utah - central	10	5	2	12	4		3675
8319	Macomb Co. C. C. - all	5	4		4	3	1	4681*
8695	Auburn University - all	19	4	3	22	9	8	1009
8697	University of Alabama - all	1						1051
8701	Arkansas State University - all				1	1		1090
8703	University of Arkansas - all	16	4	1	18	4	3	1108
8704	Biola College - all	2	2		3			1122
8705	California State University - all	1						1139*
8706	Claremont College - all					1	1	1170*

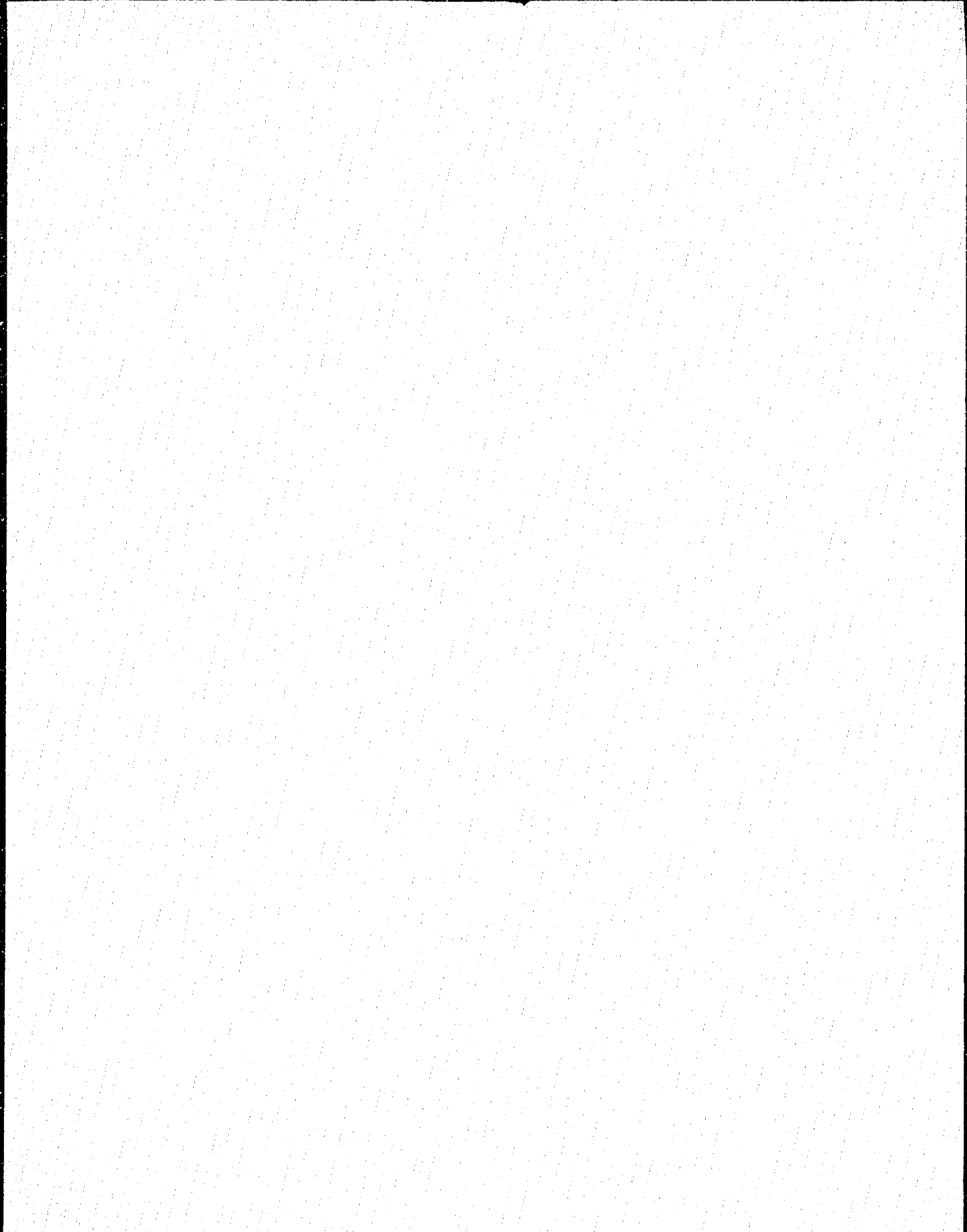
FICE	School	Appearances on NLS						New Code
		1	2	3	4	5	6	
8708	Loma Linda University - all				1			1218
8709	Los Angeles Community College - all			1		1		1223*
8713	San Mateo Junior College - all	1			1			1181
8715	University of California - all	19	4		19	5	4	1312
8717	University of Colorado - all	22	3	1	29	18	2	1370
8718	University of Connecticut - all	12	1		14	10	9	1417
8719	Jones College - all		2			1		1497
8722	Emory University - all	2	3	1	4	3	2	1564
8723	Georgia Institute of Technology - all	5	1		8	11	2	1569
8724	Mercer College - all	4	3		4	1	1	1580
8726	Black Hawk College - all	6	3		2	1		1638
8729	University of Illinois - all	29	5		22	9	3	1775
8730	Earlham College - all	1	1		1	1		1793
8731	Indiana University - all	25	8		28	8	3	1809
8732	Purdue - all	26			21	7	3	1825
8733	St. Josephs College - all	2				2	1	1833
8735	Des Moines Area Community College - all	2	1	2	3	1		8316*
8736	Indian Hills Community College - all	1		1				8403*
8738	Iowa Central Community College - all	1	3	1	5	1		1865*
8739	Iowa Western Community College - all	3	1					7122*
8740	Southeastern Community College - all	2	4		3			1848*
8743	Bellarmino-Ursuline - all	1				2	1	1954
8744	University of Kentucky - all	17	4	2	17	13	3	1989
8745	Louisiana State University - all	23	8		21	10	3	2010
8747	Johns Hopkins - all	2		1	3	5	2	2077
8748	Montgomery College - all (Rockville, Md.)	7	3		4	2		2082*
8749	St. Johns College - all	2			1			2092
8754	Suffolk University - all	3				3		2218

FICE	School	Appearances on NLS						New Code
		1	2	3	4	5	6	
8755	University of Massachusetts - all	4	2		2	4	7	2221
8757	Michigan State University - all	49	11	1	46	35	12	2290
8758	Michigan Technological University - all	3	1	1	4	1	2	2292
8759	Northwood Institute - all	3	1			1		4072
8760	Oakland Community College - all		1		1			2304*
8761	University of Minnesota - all	1	1					3969
8762	Itwamba Junior College - all	2	2					2409
8763	Mississippi Gulf Coast J.C. - all	1			1			2419*
8765	Central Missouri State University - all	8	3		8			2454
8766	St. Louis University - all	2	1		5		3	2506
8767	University of Missouri - all	25	8	1	24	6		2516
8768	University of Nebraska - all	20	10	1	23	6	1	2265
8770	Farleigh Dickinson - all	3				2	2	2607*
8772	Eastern New Mexico State University - all	5	1		4	3		2651
8773	New Mexico State University - all	18	2	2	13	3		2657
8779	Cornell University - all	4	1		26	10	8	2711
8780	Long Island University - all		1		2	2	3	2754*
8782	New York Institute of Technology - all	1			1			4804
8783	Niagara University - all	4	1		2	1	2	2788
8784	Pace University - all	5	1		2	2	1	2791*
8785	Rensselaer Polytechnic Institute - all	11			6	5	6	2803
8786	Russell Sage College - all	1	1		1			2810
8788	SUNY - all	1			2	1	2	2836
8789	Syracuse University - all	1						2882
8791	East Carolina University - all	19	6		20	9	2	2923
8792	Lenoir County Community College - all	7			4			2940
8794	University of North Dakota - all	5			3	1		3005
8795	Antioch College - all	1			1	3	3	3010

FICE	School	Appearances on NLS						New Code
		1	2	3	4	5	6	
8796	Bowling Green - all	18	4		21	12	5	3018
8797	Cuyahoga Community College - all	7	1	3	3			7103*
8799	Kent State - all		1					3051
8800	Miami University of Ohio - all	1				1		7104
8802	Ohio State University - all	34	6	1	32	9	4	6883
8803	Ohio University - all	13	7	2	15	10	5	3100
8805	University of Cincinnati - all	25	9	2	28	9	2	3125
8806	Oklahoma State University - all	15	2		17	11	3	3170
8807	University of Oklahoma - all	16	5		17	6	3	3184
8808	University of Oregon - all	13	3	1	13	3	1	3223
8809	Allegheny County Community College - all	5	2	2	2		1	3230
8810	Indiana University of Pennsylvania - all	15	2	1	14	8	7	3277
8813	Pennsylvania State University - all	20	10		43	34	14	6965
8815	University of Pittsburgh - all	14	1		10	13	7	3379
8816	University of Rhode Island - all	5	2		11	8	2	3414
8817	Clemson University - all	11	3	1	15	4	2	3425
8818	Palmer College - all	3	1		1			3444
8819	University of South Carolina - all	17	3		13	9	8	3448
8820	East Tennessee State University - all	30	6	3	26	12	5	3530
8822	Baylor University - all	16	3	1	13	7	1	6967
8823	Texas A & M University - all				1			10366
8824	Texas Lutheran - all		1		3			3641
8825	University of Texas - all	1						3658
8827	Utah State University - all	2	4	2	3	3	1	3677
8828	College of William & Mary - all	5			15	7	2	3705
8830	Virginia Commonwealth University - all	13	5	2	10	2	7	3735
8831	Marshall University - all	5	2		3	5	1	3815
8832	Salem College - all	1	1					3820

FICE	School	Appearances on NLS						New Code
		1	2	3	4	5	6	
8833	West Liberty State College - all	4			2			3823
8834	West Virginia University - all	18	3	1	23	12	2	3827
8835	Lawrence University - all	1			1			3856
8836	Marquette University - all	10	1		8	3	3	3863
8837	University of Wisconsin - all	14	1	1	9	6	6	3895
8838	Wisconsin State University - all				1			3895*
8898	Tarrant County Junior College - all	2	2		1			8900*
9049	Foothill Junior College - all	1		1				1199
9050	Delaware Technological Comm. College - all	2	1					9011*
9057	Williams College - all	1	3	1	1	4	1	4917
9060	Bethel College & Seminary - all		1		1			9058*
9091	University of Michigan - all	28	1		33	19	6	9092
9162	San Antonio Junior College - all	4	3					9163
9167	Wright State - all	18	6		12	5	2	9168
9234	Clarion State University - all	7	2		4	3	3	9235
9266	North Dakota State University - all	3	1	1	2			9265
9551	Fresno State College - all		1		1	3		1147
9553	University of Maryland - all	36	4		32	16	7	2103
9554	SUNY Buffalo - all		1		4	6	2	2837
9555	SUNY Stoney Brook - all	5	1		4	3	7	2838
9556	North Carolina State Univ. Raleigh - all	19	5	2	22	4	1	2972
9558	University of Texas Austin - all	42	7	3	50	7	6	3658
9560	University of Wisconsin, Stout - all	4		1	3	2		3915
9561	University of Wisconsin, Platteville - all	3	2		3	1		3921
9561	University of Wisc., Stevens Point - all	3	1		4	4	1	3924
9643	Texas Tech - all	8	3	1	5	1		3634
9758	Community College of Denver - all	1			1			7933*

FICE	School	Appearances on NLS						New Code
		1	2	3	4	5	6	
10856	Fayetteville State - all	4	1	1	1	3	1	4841*
10875	Norwich University - all	1			1			3692
11720	University of Houston - all	20	3	3	11	13	3	3652
11728	Tampa College - all	1			2	3		1534
Total - 140 schools								
<p>*Denotes institutions without any explicitly labelled main or central campus. In such cases, the campus with the largest enrollment is assumed to be the proper campus, although other choices are possible.</p> <p>^aA total of 3,817 FICE codes were replaced out of 30,153 on the NLS file.</p>								



5

Appendix D

Institutional Application to Participate

in Federal Student

Financial Aid Programs

Fiscal Year 1974

(1973-74 Tripartite Survey Document)

Instructions and Definitions



DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
OFFICE OF EDUCATION
WASHINGTON, D.C. 20302

October 11, 1972

BUREAU OF HIGHER EDUCATION

Dear President:

Enclosed is the form for submission of your "Institutional Application to Participate in Federal Programs of Student Financial Aid: July 1, 1973 through June 30, 1974." This application covers the National Direct Student Loan (formerly the National Defense Student Loan), Supplemental Educational Opportunity Grants, and College Work-Study Programs. Provision is also made for your institution to estimate the number of Basic Educational Opportunity Grant recipients you will have in attendance next year and the anticipated levels of their entitlements.

Instructions for completion of the form are enclosed separately. All institutions were furnished draft copies of this form in September and invited to send representatives to workshops, conducted by their Regional Offices, on completion of the form. Both the final form enclosed and the instructions for its completion are substantially similar to the drafts furnished in September, although the draft instructions were incomplete in certain respects.

Supplemental instructions covering the points omitted from the draft instructions are also enclosed.

As you may know, the Education Amendments of 1972 (P.L. 92-318, enacted June 23, 1972) altered the former programs of student financial aid in several ways. Some information concerning these changes has already been furnished to your institution. However, I must add a note of caution: There are several basic issues growing out of the legislative amendments which could affect the preparation of your application. The following pertinent issues remain unresolved at this time:

1. The precise manner in which SEOG and/or CWS funds available for a State will be allocated among the institutions within that State;

2. The determination of whether the previous eligibility cutoffs for the Educational Opportunity Grants Program of \$9,000 gross parental income and \$625 parental contribution will be retained for Supplemental Grants;
3. The determination as to whether self-supporting students may be found eligible for Supplemental Grants without reference to their parents' income and ability to make a parental contribution; and finally
4. The prospective funding levels for these programs for academic year 1973-74. *(Subject to available appropriations.)*

I cannot assure you that these issues will be resolved prior to November 3, but I do assure you that information on these matters will be forwarded as soon as available.

If your institution has not previously participated in at least one of the three former student financial aid programs (i.e., Educational Opportunity Grants, College Work-Study, or National Defense Student Loans), please pay particular attention to the instructions for lines 23-25. It is most important to you that eligibility proceedings begin immediately.

The deadline for submission of your application is November 3. It should be mailed to the Regional Office of Education for the State in which your institution is located. A list of Regional Offices is enclosed. Do not mail your application to Washington, D.C.

If there are any questions on the form or the instructions, please contact your Regional Office.

Sincerely yours,



Leonard H.O. Spearman
Director, Division of
Student Assistance

Supplemental Instructions

Lines 42-43. It is assumed that the figures shown in lines 42-43, columns a-c, will be reasonably reflective of the enrollment trends shown in lines 32-34, columns a-c. Please explain in the Narrative (Section VI) any marked differences between these two sets of trend lines.

Line 53. The total amount requested in all three programs should be shown in column c. If a marked increase is shown from column b (amount anticipated to be expended this year in all three programs) to column c, please set forth in the Narrative (Section VI) your institution's plans for providing for adequate administration of the funds requested. If the amount requested in column c significantly exceeds the sum of (1) the amount shown in column b plus (2) the amount shown in line 54, column b, there should be one or more reasons, such as increased costs or a greatly increased number of needy students enrolling at your institution. Please explain any such increase in the Narrative.

Lines 93-94. If the percentage shown in line 94, column c, exceeds the percentage shown in line 93, column c, by more than 5 points, please explain in the Narrative (Section VI).

Lines 95-100. Final instructions for determining the amount of any student's entitlement for a Basic Educational Opportunity Grant have not yet been prepared. However, it is important that data be furnished to enable us to make a rough approximation of the amount of your students' entitlements. Therefore we are requesting that you complete lines 95-100 as follows:

- (a) Use the need analysis system which your institution now expects to be using for calculating the financial aid awards which will be made to your students for academic year 1973-74.
- (b) Estimate the family contribution (i.e., the sum of the parental contribution plus the student contribution) which will be expected under that system.
- (c) Subtract that expected family contribution from \$1400.
- (d) The result obtain in step (c) is a rough approximation of the student's entitlement if it is \$200 or more and does not exceed one-half the cost of attendance at your institution.

- (e) Reduce the entitlements calculated in step (c) by eliminating all entitlements which are less than \$200.
- (f) Further reduce the entitlements calculated in step (c) by limiting them to an amount which does not exceed one-half the cost of attendance at your institution.
- (g) Enter the results of your calculations in lines 95-100.

Other. (a) Please state in the Narrative (Section VI) the need analysis system used by your institution to date (e.g., ACT, CSS, income tax, alternate income, institution's own system approved by the Commissioner of Education).

(b) If your institution allows more than \$400 for personal expenses or \$150 for books in any of the budgets shown on the worksheets, please explain in the blank space at the bottom of the specific worksheet affected. Also explain if the total allowed to commuting students for personal expenses plus room and board at home exceeds \$1100.

(c) Provision for your institution's 3 percent administrative allowance may be included in line 2, column c, of each worksheet. This will then be reflected in line 45, column c, of the application.

(d) If the breakdown of gross institutional aid and aid from other sources (lines 49 and 50 in Section III and lines 11 and 12 of the budget worksheets) is not readily available by budget type, first derive the entries for lines 49 and 50, using actual expenditures for FY 1972, estimated expenditures for FY 1973, and projected expenditures for FY 1974. Then divide these expenditures among lines 11 and 12 of the worksheets in the same proportion as the number of students in each budget type.

(e) It was not possible to print the application form as originally planned, which was to assemble all copies of each page with carbon paper interleaved between the copies by the printer. It is difficult for a typist to assemble loose copies of a form so that entries typed on the original will appear in the proper spaces on the carbon copies. Therefore only 2 copies of each page of the form are enclosed. Use one copy as your worksheet and the other copy as final. Then photocopy the final of each page and submit the following number of copies:

Page 1: Original and 4 copies

Pages 2-5: Original and 3 copies of each page

Each Worksheet: Original and 3 copies

It it should prove impossible for your institution to photocopy, additional copies of the form may be obtained from your Regional Office.

(f) MAIL THE COMPLETE APPLICATION TO THE REGIONAL OFFICE FOR THE STATE IN WHICH YOUR INSTITUTION IS LOCATED (see attached list). DO NOT MAIL TO WASHINGTON, D.C. APPLICATIONS MUST BE POSTMARKED NO LATER THAN NOVEMBER 3, 1972.

**INSTITUTIONAL APPLICATION TO PARTICIPATE IN
FEDERAL STUDENT FINANCIAL AID PROGRAMS
July 1, 1973 through June 30, 1974**

Division A - Introduction

Division C - Definitions

Division B - General Instructions

Division D - Instructions for Preparing Application

DIVISION A - INTRODUCTION

It is imperative that all instructions for this application be read thoroughly and special care be taken in preparing this form. Every effort has been made to ease the preparation of the request for funds. This task required coordination of the National Defense Student Loan, College Work-Study and Educational Opportunity Grants Programs with the programs authorized by the Education Amendments of 1972, Public Law 92-318.

For Fiscal Year 1973, the College Work-Study and Educational Opportunity Grants Programs will operate as before. However, the National Defense Student Loan Program was placed under the Higher Education Act of 1965 by Public Law 92-318. Thus, the National Direct Student Loan Program is already operable in Fiscal Year 1973. This application does make the distinctions necessary for the transition from National Defense Student Loan to National Direct Student Loan, Educational Opportunity Grants to Supplemental Educational Opportunity Grants, and College Work-Study to College Work-Study with modifications.

The following table relates fiscal years and programs. It is essential that proper relation of year to programs be understood in order to develop this application.

YEAR OF OPERATION

Fiscal Year 1972	Fiscal Year 1973	Fiscal Year 1974
1. National Defense Student Loan	National Direct Student Loan	National Direct Student Loan
2. College Work-Study	College Work-Study	College Work-Study (amended)
3. Educational Opportunity Grants	Educational Opportunity Grants	Supplemental Educational Opportunity Grants
4.	Basic Educational Opportunity Grant

Your application will request funds only for the first three programs for Fiscal Year 1974. While this is not an application for Basic Grant funds, Section V does provide space for estimating the students and dollar amounts for Basic Grants for Fiscal Year 1974. Instructions for completion of Section V will be issued separately as soon as available.

The approach to this application is basically a combination of performance, past (1971-72) and present (1972-73), and of projection (1973-74) which represents the request for funds. Thus, the development of a gross need for which Federal funds are requested has a comparability characteristic. Also, the use of "gross" rather than "average" figures makes derivation of an institution's gross need simpler and more realistic.

DIVISION B - GENERAL INSTRUCTIONS

A. READ ALL INSTRUCTIONS BEFORE ATTEMPTING TO COMPLETE THE FORM.

B. COMPLETE ALL ITEMS AS REQUESTED.

C. ENTER "N.A." FOR ANY ITEMS RELATED TO SPECIFIC PROGRAMS FOR WHICH FUNDS ARE NOT BEING REQUESTED. NO GRADUATE STUDENTS ENROLLED, OR OTHER SUCH ITEMS.

D. ROUND DOLLAR AMOUNTS TO THE NEAREST TEN (10) DOLLAR FIGURE.

E. ROUND STUDENT NUMBERS TO THE NEAREST FIVE (5).

F. CHECK ARITHMETIC CAREFULLY. ALL ERRORS WILL BE CORRECTED AT THE DISCRETION OF THE GOVERNMENT.

G. REFER QUESTIONS TO YOUR REGIONAL OFFICE.

H. THIS APPLICATION IS TO BE FILED IN FISCAL YEAR 1973 FOR FUNDS TO BE USED IN FISCAL YEAR 1974.

DIVISION C - DEFINITION OF TERMS

I. STUDENT TYPES:

A. Undergraduate student. A student who has not earned his first baccalaureate degree or its equivalent. This would include those students at vocational and proprietary schools.

B. Graduate student. A student who has earned at least one baccalaureate degree, or a student pursuing a first professional degree (such as law or medicine) for which at least three years of college is a normal admission requirement.

C. Full-time student. A student who is carrying a full-time academic workload in terms of course work or other required activity as determined by the institution. In the case of proprietary institutions, this means at least 25 clock hours per week or the equivalent of 14 semester or quarter hours. (Do not use full-time equivalency.)

D. Half-time student. A student who is carrying at least one-half but less than the normal full-time academic workload in terms of course work or other required activity as determined by the institution.

E. Student living at home. The student who has traditionally been considered a commuter student. This student generally has room and board provided at little or no charge; however, even if he is charged for his food and housing at home, he should be included in this category.

F. Student living away from home. The student who has traditionally been considered a resident student. This student is one who must purchase meals and housing as a portion of total educational expenses, i.e., lives in residence hall, fraternity, sorority, rooming house or apartment.

G. Self-supporting student. A self-supporting, or independent student, is generally a student who is financially independent of his parents or legal guardians. A student is ineligible for consideration as a self-supporting student if (1) the student has been claimed or will be claimed as an exemption for Federal Income Tax purposes by either parent or by any other person (except spouse) for the calendar year(s) in which aid is requested or the calendar year preceding the academic year for which such aid was requested; (2) the student has received or will receive support from one or both of his parents or any other person acting in LOCO PARENTIS (excluding public agencies) in the calendar year(s) for which aid is requested or the calendar year preceding the academic year for which such aid was requested. Contributions in cash or kind of less than \$200 do not constitute support for purposes of this part. Contributions in excess of \$200 do constitute support, unless the student can submit sufficient documentation to justify an exception; (3) the student has resided or will reside with his parents or other persons acting in LOCO PARENTIS (excluding public agencies) in the calendar year(s) in which aid is requested or during the calendar year preceding the academic year for which aid was requested. Residence does not include temporary visits of up to three weeks at a time. If the student lives with his parents or others in LOCO PARENTIS and pays in cash an amount equal to the costs of his room and board and can document such payments, the institution may consider the student to be self-supporting if he meets the criteria listed in subsections (1) and (2).

The determination of self-supporting status shall be made annually.

A student whose parents or others acting in LOCO PARENTIS have died or become permanently disabled during the calendar years in which aid is received or the prior calendar year is eligible for consideration as a self-supporting student even though the residence, tax exemption and financial support criteria listed above have not been met.

H. Dependent student. One who does not meet ALL of the criteria for the self-supporting student in Item G.

II. INCOME.

A. Adjusted gross income means all cash income (before taxes), whether taxable or nontaxable, minus business expenses. (1) or a self-supporting student adjusted gross income is that of the student and his spouse, if any.)

III. STUDENT EDUCATIONAL BUDGET.

A. Tuition and Fees. If tuition and fees vary greatly by category of student, a separate worksheet (see instructions for lines 42-60) should be prepared for each category of significant size.

B. Meals and Housing. Include room and board costs at an institution (fraternity, sorority or residence hall), or the cost for food and housing for a person living off-campus (apartment, rooming house or home), if applicable. In place of meals and housing charged by the institution or paid for in off-campus apartment or rooming house, a reasonable amount must be added to the budget of a student living at home which reflects the cost of room and board at home and meals eaten on campus.

C. Personal expenses. Include expenses for medical, dental, clothing, cultural events and personal grooming. Personal expenses will normally not exceed \$400 per student.

D. Transportation. For the student living at home include the actual cost of public transportation in areas where such transportation is reasonably available.

For the student living away from home include the cost of two round trip tickets by bus or air coach, plus any local public transportation for students living a significant distance off-campus.

E. Books and Supplies. Usually include a standard allowance of \$150 per student.

F. Total Costs. Include the sum of Items A through E above. Although the normal period for determining costs is based on the period of actual enrollment, a 12-month budget may be used for self-supporting students where appropriate.

G. Costs for half-time student. Include tuition, fees, books and supplies, transportation, and occasional meals. Housing, meals, and personal expenses are not included because of the assumption that this student is working. Thus, these items are already provided as regular maintenance costs. It is essential to treat only items directly related to educational costs.

IV. MISCELLANEOUS.

A. Basic Grant (BEOG Program). This program entitles all eligible students to \$1,400 minus their family contribution except that the grant cannot exceed one-half of the COST of their education. In the event of less than full funding, the grant must be reduced by statutory formula and may not exceed a specified proportion of need.

B. Unduplicated student count. Count each aid recipient only once regardless of advances or types of aid received in a given year.

DIVISION D - INSTRUCTIONS FOR PREPARING APPLICATION

LINES 1-6. IDENTIFICATION

Line 1. Enter correct OE Vendor Number. If you are not sure of your number, contact your Regional Office. First-time applicants who have been issued an OE Vendor Number because of participation in other Office of Education programs should enter that number. Those institutions which have never been given a number should enter "NEW" in Line 1.

Line 2. Date means the actual date this application is signed.

Lines 3, 4, and 5. Enter appropriate code number listed below which describes the type, control and level of your institution.

- LINE 3. TYPE.**
- 1 - University (or a graduate or professional school)
 - 2 - Other 4-year (baccalaureate degree-granting only)
 - 3 - Two-year
 - 4 - Area vocational-technical school
 - 5 - Other

LINE 4. CONTROL

- 1 - Public
- 2 - Private, non-profit
- 3 - Proprietary

LINE 5. LEVEL (Number of years offered beyond high school for the majority of students or required for principal curricular offering)

- 1 - One year or less
- 2 - Two years
- 3 - Three years
- 4 - Four years
- 5 - Five years or more
- 6 - Postbaccalaureate only

Line 6. Enter the correct Congressional District in which your institution is located.

SECTION I - GENERAL INFORMATION

Line 7. If your institution has changed names in the past year, enter the old name in parentheses at the bottom of this block.

Lines 8 - 16. Self-explanatory.

Lines 17-20. This should be the individual who is authorized by the institution to submit this application AND who is responsible for the accuracy and completeness of this form.

Lines 21-22. Check the program(s) in which (a) the institution participated in 1972-73 AND (b) those for which the institution is applying to participate during 1973-74.

Lines 23-25. Check the method by which your institution has established eligibility for these programs. If you have status with a nationally recognized accrediting agency, check box 23 and enter the name of the organization and the type of status which is currently held (full accreditation, candidate, or correspondent). If you have no such status, but have received a certification letter from the Office of Education confirming the eligibility of your school for these programs via the acceptance of credits from your institution "on transfer by not less than three institutions which are so accredited....." check box 24. If your institution has not yet established eligibility, check box 25. It is your responsibility to apply for eligibility immediately if you have not done so. Indicate your action.

Lines 26-31. Institutional Scholarship and Student Aid Maintenance of Level of Effort. Applies only to institutions CURRENTLY PARTICIPATING in the College Work-Study and/or the Educational Opportunity Grants Programs. All such institutions MUST complete the appropriate line(s) and either report (line 26) or establish (lines 27-31) a maintenance of level figure as referred to in the Terms of Agreement, Article III.

LINE 26. Complete ONLY if your institution participated in either College Work-Study or Educational Opportunity Grants Programs during Fiscal Year 1972.

LINES 27-31. Complete ONLY if your institution is entering either or both of the College Work-Study or Educational Opportunity Grants Programs for the first time in Fiscal Year 1973. You MUST then establish a three-year average by entering institutional scholarship and student aid expenditure figures in lines 27 through 29 and dividing the total (line 30) by three. (Divide line 30 by 3 even if your institution did not have institutional scholarship or student financial aid expenditures during any given base year(s), as long as the institution was in existence all three years). However, if your institution was NOT IN EXIST-

ENCE during any or all of the three base years, complete lines 27 through 29 as follows: Enter "not in existence" in the lines for the years during which your institution was not in existence. Enter institutional scholarship and student aid figures in the lines for the years your institution was in operation (if any). If appropriate, divide the total (line 30) by 1 or 2, depending on the number of base years your institution was in existence, and enter the resulting amount on line 31. State the year the institution opened in Section VI of the application.

The Higher Education Amendments of 1968 state that the institution will continue to spend in ITS OWN scholarship and student aid programs (graduate and undergraduate) from sources other than Federal CWS and FOG funds not less than the average expenditure per year made for that purpose during the most recent period of three fiscal years preceding the effective date of the agreement. The main types of expenditures which are to be included in your maintenance of level figure are listed as follows: (Note that funds are to be included which are given to the institution from an organization outside the institution but which are controlled and administered by the institution and are awarded to students as scholarships, or other forms of student financial aid): institutional grants-in-aid; institutional scholarships including State scholarships which are controlled and administered by the institution; institutional waivers of tuition or fees; institutional student loans; loans made under the Federally Insured Student Loan Program, Title IV, Part B of the Higher Education Act of 1965 IF the institution acts as the lender; institutional share of the United Student Aid Funds, Inc., College Reserve Program; institutional share of nursing and health professions financial aid programs; institutional share of NDSLSP; institutional share of College Work-Study Program (limited to on-campus institutional share, unless the institution has provided off-campus matching share from its own funds); institutional employment (exclusive of Federal share of College Work-Study Program); student wages from employment contracted by an institution with a private concern, with a provision that a given number of student jobs (not paid from College Work-Study funds) are in the contract, e.g., food service, etc.

The following sources of aid CANNOT be used in computing the institutional maintenance of level: State scholarships which are not administered and controlled by the institution; scholarships, grants, or gifts which are not administered and controlled by the institution and are given directly to students by groups or organizations outside the institution; loans from outside sources which are not subject to control by the institution; Cuban Loans; loans under the Federally Insured Student Loan Programs UNLESS the institution is the lender; student employment not managed and controlled by the institution; income from jobs financed by the Federal government through research under a Federal grant; Federal share of NDSLSP; Federal share of the College Work-Study Program; Federal share of nursing and health professions financial aid programs; Social Security benefits; payment under the GI Bill; benefits to war orphans; vocational rehabilitation payments; ROTC and NROTC scholarships; specialized programs of various Federal agencies, e.g., Bureau of Indian Affairs, Law Enforcement Assistance Administration.

SECTION II - ENROLLMENT DATA

Line 32. Enter total number of ALL FULL-TIME undergraduate students enrolled each fall at your institution, as defined in Division C above. This also includes those students who are NOT eligible for Federal funds. These should be ACTUAL figures for columns a and b, and a projection for column c.

Line 33. Enter the total number of ALL undergraduate students enrolled at your institution on at least a half-time, but less than a full-time, basis. These should be actual figures for columns a and b, and a projection for column c.

Line 34. Enter the number of all graduate and professional students enrolled each fall at your institution as defined in Division C above. This would include those students who are not eligible for Federal funds. These should be ACTUAL figures for columns a and b, and a projection for column c.

SECTION III - PART A - INSTITUTIONAL NEED ANALYSIS (Gross)

The information in lines 35, 36, and 37 will have a dual effect: one, to describe the make-up of the student body, and two, to provide a means of estimating numbers of potential Basic Grant recipients.

Line 35. Enter in column a your projected total number of ALL FULL-TIME UNDERGRADUATE students which your institution plans to enroll in 1973-74. Numbers of students should be displayed in columns b-e by adjusted gross parental income as defined in Division C. Project such incomes according to your latest available data and include both dependent and self-supporting students by parental incomes for your institution. Enter in column f the number of self-supporting students whose parental incomes are unknown. (Column a equals the sum of columns b-f.)

Note: There are various appropriate methods by which surveys could be conducted for collecting this information. Your Regional Office will provide assistance in developing methods for generating these figures. Please indicate in Section VI (narrative) the method used, e.g., parental survey, 30% of student body, 45% return. Surveys directed to the parents of students are believed to be superior to those involving students.

Line 36. Enter an estimate of ALL UNDERGRADUATE students you expect to be enrolled in 1973-74 on at least a half-time, but less than a full-time, basis. These students should be displayed by adjusted gross parental income. This item MUST be completed.

Note: Since new legislation makes all students enrolled AT LEAST HALF-TIME eligible to participate in the SEOG and CWS programs (they are already eligible for the NDSL program), it is necessary to request this information in order to evaluate more accurately your request for these programs.

If you do not have data on less than full-time students and if you believe the distribution by income categories for such undergraduate students is similar to distribution for full-time undergraduate students, you may display the half-time undergraduate students in this line in the same proportion as the full-time undergraduate students are displayed in line 35.

Line 37. Enter the sums of lines 35 and 36, columns a through f, in line 37, columns a through f.

Line 38. Estimate the total number of undergraduate students by adjusted gross parental income categories who you believe will need and apply for aid and will enroll at your institution. Include all undergraduate students who will need and apply for aid from these three programs, as well as all other undergraduate students who will need and apply for aid from other financial aid programs at your institution (i.e., the sources in lines 49 and 50). You may use experience from 1972-73 as a basis for this estimate if you believe that it accurately reflects your projections for 1973-74. Your estimate may be compared for reasonableness with the distribution shown on the Fiscal Year 1972 Fiscal Operations Report. Any significant increase in low-income students expected in 1973-74 must be supported in Section VI (narrative). The sum of lines 38 and 39, column a, must agree with the sum of lines 42 and 43, column c. Follow instructions for line 35 for dependent and self-supporting students in columns b through f.

Line 40. Veterans benefits as used here mean ONLY educational benefits available to student veterans.

Line 41. Include the institutional share of NDSL and CWS.

Lines 42-60. If your institution did not participate in any of the programs (EOG, CWS, NDSL) during 1971-72 or 1972-73, do not complete columns a and/or b. All institutions must complete column c.

This part of the application develops the total amount of need for which funds are requested in Part B, lines 55 through 63. The Worksheet(s) for Section III is (are) the justification for one or more budget types in determining the institution's gross need.

Complete a worksheet for each budget type and attach to the application. Include a budget type only if there is a significant number of such students in the group of students applying for aid. Indicate the percent of the budget type in the total applicant group. Represent every student on a worksheet. If some categories have insignificant numbers of students in them, they may be grouped together on a single worksheet labeled "all other." You may use a "typical" budget for all students, if appropriate. Illustrative budget types are:

1. Dependent student living at home
2. Dependent student living away from home
3. Self-supporting student, single, full-time
4. Self-supporting student, single, part-time
5. Self-supporting student, married
6. Self-supporting student, married with child
7. All other.

BOOKS AND SUPPLIES. Explain in Section VI if more than \$150.

PERSONAL EXPENSES. Explain in Section VI if more than \$400.

LINE 42. A NEW student is one who has not previously received financial assistance at your institution, even if he was previously in attendance at your institution.

LINE 43. A CONTINUING student is one who has previously received financial assistance at your institution, either from these three programs or from other financial aid programs.

The sum of lines 38 and 39, column a, must equal the sum of lines 42 and 43, column c.

LINE 44. Compute weighted average cost per student as follows:

1. Add the gross cost figures (line 8 from the worksheet(s)).
2. Add the number of students (line 1 from the worksheet(s)). The resultant figure must equal the sum of lines 42 and 43, columns a, b, c respectively (or column c only for new institutions).
3. Divide the figure obtained in step 1 by the figure obtained in step 2.
4. The resultant amount represents the weighted average cost per student and should be entered in line 44.
5. This method should be used independently for each column, a, b, and c.

LINE 45. The entry in each column of line 45 is the sum of the entries in the corresponding column of line 8 of all worksheets.

LINE 46. Average expected parental contribution is obtained by dividing the gross parental contribution (line 47) by the sum of the students in lines 42 and 43.

Lines 47-51. For these lines "gross" means the sum of individual parts.

LINE 47. On line 47, show figures which are the sums of the parental contributions of all students entered on lines 42 and 43. Note that the entry in each column of line 47 is the sum of the entries in the corresponding column of line 9 of all worksheets.

LINE 48. Include expected contributions from summer earnings plus expected contributions from other savings and assets or other available resources. EXCLUDE CWS earnings from this computation. The entry in each column of line 48 is the sum of the entries in the corresponding column of line 10 of all worksheets.

LINE 49. Enter in each column the actual or projected gross aid to all students listed in lines 42 and 43 from loans, work grant and scholarship funds PROVIDED and ADMINISTERED by the institution. DO NOT include any portion of EOG, NDSL, CWS, LEEP, VETERANS BENEFITS, SOCIAL SECURITY

BENEFITS, STUDENT NURSING AND HEALTH EDUCATION ACT GRANTS and LOANS, or GUARANTEED or INSURED LOANS. (Guaranteed Loans made by the institution should be included). Exclude any aid NOT given on the basis of NEED. The entry in each column of line 49 is the sum of the entries in the corresponding column of line 11 of all worksheets.

LINE 50. Enter in each column the estimated or projected gross aid for all students from all other sources (e.g., State and local scholarships, Social Security Benefits, payments under the G.I. Bill, benefits to War Orphans, ROTC and NROTC Scholarships, vocational rehabilitation payments, specialized programs of various Federal agencies, e.g., Bureau of Indian Affairs, Guaranteed or insured Student Loans not made by the institution if they are used as a resource by your institution, Cuban Loans, student employment (during the academic year) not managed by the institution, and scholarships, grants, or gifts made directly to students from outside sources). The entry in each column of line 50 is the sum of the entries in the corresponding column of line 12 of all worksheets.

LINE 51. Line 51 is the sum of lines 47 through 50, in each column. Note that the entry in each column of line 51 is the sum of the entries in the corresponding column of line 13 of all worksheets.

LINE 52. Self-explanatory.

LINE 53. Report the amount ACTUALLY expended in column a (which should be the amount shown in your FY 72 fiscal-operations report), the amount estimated to be expended in column b, and the projected amount to be expended in column c. These amounts refer to gross loans, gross compensation and total grants for NDSL, CWS, and EOG programs. Line 53, column c, may not exceed line 52, column c.

LINE 54. Self-explanatory.

Lines 55-59. Enter dollar amounts only.

LINE 57. Gross compensation means Federal and institutional and/or off-campus agency shares of the students' wages. Column a must be the same as FY 72 report, page 7, the sum of lines 1b plus 5b.

LINE 58. Self-explanatory.

LINE 59. Show actual amount expended in column a from FY 72 fiscal report, page 4, sum of lines 7 and 9. Show estimated expenditures in column b. Show projected Level of Lending in column c.

LINE 60. Unduplicated student count is defined in Division C. Show the unduplicated number of students assisted (or to be assisted) with the total amount of dollars represented in each column of lines 55, 56, 57, and 59.

SECTION IV - ANALYSIS OF PROGRAM REQUESTS

Complete all lines for each program for which projections are made for funds needed.

Part A - National Direct Student Loan Program. These items MUST be completed by any institution wishing to make loans under the NDSL Program during FY 1974, whether a new or previous participant and whether or not requesting additional funds for FY 1974.

Line 61, column a. Count each borrower only once.

Line 61, column b. Column c divided by column a equals column b.

Line 62. Report your officially approved Level of Lending for FY 1973

Line 63. Column c is the gross amount of loans you wish to make during FY 1974. Column c DIVIDED by column a equals column b.

Line 68. Estimate this total (principal and interest) from Loan Repayment Schedules, taking into account past due loans, deferrals, cancellations and cash collections for prior years. This amount must equal the total of lines 69, 70, 71, and 72.

Lines 69-73. Include principal and interest.

Part B - College Work-Study

Lines 78-79. Enter actual (1971-1972) students and dollar amounts by adjusted gross parental income categories. Column f includes only those self-supporting students whose parents' incomes are unknown. Columns b-e include both dependent and self-supporting students whose parents' incomes are known.

Lines 80-81. Enter estimated (1972-73) students and dollar amounts. Enter self-supporting students as in lines 78-79.

Lines 82-83. Enter projected (1973-74) students and dollar amounts. These student figures are to be estimated from the total of lines 38 and 39 and may not exceed such total.

Line 84. Divide the total CWSP compensation actually paid, estimated and projected to be paid by the number of applicable student employees.

Part C - Educational Opportunity Grants, Supplemental Educational Opportunity Grants Programs

Lines 85-86. Enter actual (1971-1972) initial year students and dollar amounts by adjusted gross parental income categories, as in lines 78-79.

Lines 87-88. Enter estimated (1972-1973) initial year students and dollar amounts, as in lines 78-79.

Lines 89-90. Enter projected (1973-1974) initial year students and dollar amounts. These student figures are to be estimated from line 38 and may not exceed line 38.

Line 91. Divide the total initial year grants paid, estimated and projected to be paid by the numbers of applicable grant recipients.

Line 92. Divide the total renewal year grants paid, estimated and projected to be paid by the numbers of applicable grant recipients.

Lines 93-94. The initial (IY), first renewal (R₁), and second renewal (R₂) students in one academic year are the source of R₁, R₂, and R₃, (third renewal) students in the succeeding academic year. Disregard transfer students in the R₁, R₂, R₃ total. Determine the retention percentage by dividing column b by column a and multiplying by 100.

SECTION V - ESTIMATE OF BASIC EOG

Line 95. Enter estimated number of students in line 42 and 43, column c, eligible for Basic Grants and the total amount of entitlement.

Lines 96-99. Assume adequate funds available to meet full entitlement (\$1400 minus expected family contribution but not to exceed one-half of cost of attendance). Enter students and dollar amounts in proper amounts in proper entitlement categories.

Line 100. To the number of students in line 95, add those students in line 37, column a, who are also eligible but not included in line 95. Enter estimated dollar amounts.

SECTION VI - NARRATIVE

All statements should be referenced to the specific line(s) and column(s) to which they refer.

**INSTITUTIONAL APPLICATION TO PARTICIPATE IN
FEDERAL STUDENT FINANCIAL AID PROGRAMS**

(For the period July 1, 1973 through June 30, 1974)

Please read instructions
before completing
this form.

FORM APPROVED
O.M.B. NO. 51-RO477

OE VENDOR NO.

NAME OF INSTITUTION

ADDRESS (Street, city, State, and ZIP code)

SECTION III - PART A - INSTITUTIONAL NEED ANALYSIS (Gross)

	FOR APP USE	TOTAL (a)	ADJUSTED GROSS INCOME				PARENTAL INCOME UNKNOWN (f)
			\$0- \$5,999 (b)	\$6,000- \$9,999 (c)	\$10,000- \$11,999 (d)	\$12,000- AND ABOVE (e)	
Projected No. of Undergraduate Students to be enrolled in FY 1974	35. FULL-TIME	JA35	#	#	#	#	#
	36. HALF-TIME	JA36	#	#	#	#	#
	37. TOTAL	JA37	#	#	#	#	#
38. Projected No. of undergraduate students to be in attendance who will both need and apply for aid in FY 1974	JA38	#	#	#	#	#	#
39. Projected No. of graduate students to be in attendance who will both need and apply for aid in FY 1974	JA39	#	#	#	#	#	#
40. PROJECTED NO. OF STUDENTS TO RECEIVE VETERANS BENEFITS WHO ARE EXPECTED TO BE IN ATTENDANCE AND BOTH NEED ANY APPLY FOR AID IN FY 1974			#	41. PROJECTED AVERAGE AID FROM NDSL, CWSP, AND EGGP FOR STUDENTS IN LINE 40			\$
			FOR APP USE	ACTUAL 1971-72 (a)	ESTIMATED 1972-73 (b)	PROJECTED 1973-74 (c)	
NUMBER OF STUDENTS NEEDING AND APPLYING FOR AID (Sum of lines 42 and 43, column (c), should equal sum of lines 35 and 39, column (a))	42. NEW	JA42	#	#	#	#	
	43. CONTINUING	JA43	#	#	#	#	
44. WEIGHTED AVERAGE COST PER STUDENT	JA44	\$	\$	\$	\$		
45. GROSS COST (Sum of all lines 8 from worksheets)	JA45	\$	\$	\$	\$		
EXPECTED PARENTAL CONTRIBUTION	46. AVERAGE	JA46	\$	\$	\$	\$	
	47. GROSS	JA47	\$	\$	\$	\$	
48. EXPECTED STUDENTS' CONTRIBUTION (gross)	JA48	\$	\$	\$	\$		
49. INSTITUTIONAL AID (gross)	JA49	\$	\$	\$	\$		
50. AID FROM OTHER SOURCES (gross)	JA50	\$	\$	\$	\$		
51. TOTAL GROSS RESOURCES (sum of lines 47-50)	JA51	\$	\$	\$	\$		
52. TOTAL NEED (Line 45 minus line 51)	JA52	\$	\$	\$	\$		
53. AMOUNT EXPENDED (requested in column (c))	JA53	\$	\$	\$	\$		
54. UNMET NEED (Line 52 minus line 53)	JA54	\$	\$	\$	\$		

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
OFFICE OF EDUCATION
WASHINGTON, D.C. 20202

**INSTITUTIONAL APPLICATION TO PARTICIPATE IN
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SECTION III - PART B - LEVEL OF OPERATION BY PROGRAM

TOTAL AMOUNTS EXPENDED		FCR ADP USE	ACTUAL 1971-72 (a)	ESTIMATED 1972-73 (b)	PROJECTED 1973-74 (c)
EOG (SEOG for FY 1974)	55. RENEWAL YEAR	JB35	\$	\$	\$
	56. INITIAL YEAR	JB56	\$	\$	\$
CWS	57. GROSS COMPENSATION	JB37	\$	\$	\$
	58. FEDERAL DOLLARS REQUESTED (80% of line 57, column (c))	JB38			\$
59. NDSE (Amount expended in columns (a) & (b); requested in column (c))		JB39	\$	\$	\$
60. UNDUPLICATED NO. OF STUDENTS AIDED IN LINES 55-59 ABOVE		JB60	#	#	#

**INSTITUTIONAL APPLICATION TO PARTICIPATE IN
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SECTION IV - ANALYSIS OF PROGRAM REQUESTS

PART A - NATIONAL DIRECT STUDENT LOAN (National Defense Student Loan prior to FY 1973)		FOR ADP USE	NUMBER OF STUDENTS (a)	AVERAGE LOAN (b)	TOTAL AMOUNT (c)
61. AMOUNT TO BE ADVANCED FROM NDSL FUNDS FOR FY 1973	4A61	\$	\$	\$	
62. APPROVED LEVEL OF LENDING FOR FY 1973	4A62			\$	
63. REQUESTED LEVEL OF LENDING FOR FY 1974 (Column (c) same as line 59, column (c))	4A63	\$	\$	\$	
				FOR ADP USE	AMOUNT
64. ACTUAL PAST DUE AMOUNTS AS OF JUNE 30, 1972 (From FY 1972 repayment information, Section D, line 9)	4A64	a	\$	\$	
65. ACTUAL COLLECTIONS MADE FROM 7/1/71 TO 6/30/72 (From FY 1972 Fiscal Report, Page 4, Section A, line 4)		b	\$	\$	
66. ESTIMATE OF COLLECTIONS TO BE MADE FROM 7/1/72 TO 6/30/73 (Include interest)		c	\$	\$	
67. ESTIMATE OF CASH ON HAND AS OF 6/30/73		d	\$	\$	
68. ESTIMATE OF GROSS AMOUNT DUE AND COLLECTIBLE BY 6/30/74 (sum of lines 69, 70, 71 and 72)		e	\$	\$	
69. ESTIMATE OF AMOUNT TO BE PAST DUE AS OF 6/30/74	4A69	a	\$	\$	
70. ESTIMATE OF AMOUNT TO BE IN DEFERRED STATUS AS OF 6/30/74		b	\$	\$	
71. ESTIMATE OF AMOUNT TO BE CANCELLED BETWEEN 7/1/73 AND 6/30/74		c	\$	\$	
72. ESTIMATE OF AMOUNT TO BE COLLECTED FROM 7/1/73 TO 6/30/74	4A72	a	\$	\$	
73. ESTIMATE OF AMOUNT TO BE COLLECTED TOO LATE FOR RELENDING DURING FY 1974		b	\$	\$	
74. ESTIMATE OF TOTAL AMOUNT AVAILABLE FOR RELENDING (line 72 less line 73 + line 67)		c	\$	\$	
75. NET ADDITIONAL FUNDS REQUIRED (line 63, column (c), less line 74)		d	\$	\$	
76. FEDERAL CAPITAL CONTRIBUTION REQUIRED TO MEET LEVEL OF FUNDING (90% of line 75)		e	\$	\$	
77. IF YOU WILL NEED TO BORROW FEDERAL FUNDS TO MEET YOUR MATCHING REQUIREMENTS, ENTER TOTAL AMOUNT (not more than 1/9 of line 76). LOAN MATERIALS WILL BE FORWARDED AFTER JULY 1	f	\$	\$		

**INSTITUTIONAL APPLICATION TO PARTICIPATE IN
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PART B - COLLEGE WORK-STUDY		FOR ADP USE	TOTAL PROGRAM EXPENDITURE					PARENTAL INCOME UNKNOWN (f)
			TOTAL (a)	ADJUSTED GROSS INCOME				
				\$0- \$5,999 (b)	\$6,000- \$8,999 (c)	\$9,000- \$11,999 (d)	\$12,000- AND ABOVE (e)	
ACTUAL 1971-72	78. STUDENTS	4B78	#	#	#	#	#	#
	79. AMOUNT	4B79	\$	\$	\$	\$	\$	\$
ESTI- MATED 1972-73	80. STUDENTS	4B80	#	#	#	#	#	#
	81. AMOUNT	4B81	\$	\$	\$	\$	\$	\$
PRO- JECTED 1973-74	82. STUDENTS	4B82	#	#	#	#	#	#
	83. AMOUNT	4B83	\$	\$	\$	\$	\$	\$

84. AVERAGE EARNINGS PER STUDENT		ADP	1971-72	1972-73	1973-74
		4B84	\$	\$	\$

PART C - SUPPLEMENTAL EOG		FOR ADP USE	INITIAL YEAR PROGRAM EXPENDITURE					PARENTAL INCOME UNKNOWN (f)
			TOTAL (a)	ADJUSTED GROSS INCOME				
				\$0- \$5,999 (b)	\$6,000- \$8,999 (c)	\$9,000- \$11,999 (d)	\$12,000- AND ABOVE (e)	
ACTUAL INITIAL YEAR 1971-72	85. STUDENTS	4C85	#	#	#	#	#	#
	86. AMOUNT	4C86	\$	\$	\$	\$	\$	\$
ESTI- MATED INITIAL YEAR 1972-73	87. STUDENTS	4C87	#	#	#	#	#	#
	88. AMOUNT	4C88	\$	\$	\$	\$	\$	\$
PRO- JECTED INITIAL YEAR 1973-74	89. STUDENTS	4C89	#	#	#	#	#	#
	90. AMOUNT	4C90	\$	\$	\$	\$	\$	\$

		FOR ADP USE	ACTUAL 1971-72 (a)	ESTIMATED 1972-73 (b)	PROJECTED 1973-74 (c)
AVERAGE GRANT PER STUDENT		91. INITIAL YEAR	4C91	\$	\$
		92. RENEWAL YEAR	4C92	\$	\$
93. RETENTION OF GRANT RECIPIENTS (From 1970-71 to 1971-72. column (a) = column (b) + column (c))		4C93	#	#	%
94. RETENTION OF GRANT RECIPIENTS (From 1972-73 to 1973-74. column (c) = column (b) + column (a))		4C94	#	#	%

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
 OFFICE OF EDUCATION
 WASHINGTON, D.C. 20202

**INSTITUTIONAL APPLICATION TO PARTICIPATE IN
 FEDERAL STUDENT FINANCIAL AID PROGRAMS**

Please read instructions
 before completing
 this form.

FORM APPROVED
 O.M.B. NO. 51-RO477

OE VENDOR NO.

(For period July 1, 1973 through June 30, 1974)

NAME OF INSTITUTION

ADDRESS (Street, city, State, and ZIP code)

SECTION V - ESTIMATE OF BASIC EOG

		ADP USE	STUDENTS (a)	AMOUNT (b)
95. ESTIMATED BASIC EOG FOR STUDENTS IN LINES 42 AND 43, COLUMN (C), PAGE 2		5095	#	\$
BREAKDOWN OF LINE 95 BY ENTITLEMENT AMOUNTS ASSUMING FULL-FUNDING	96. \$1,000-\$1,400	5096	#	\$
	97. \$100-\$999	5097	#	\$
	98. \$400-\$799	5098	#	\$
	99. \$200-\$399	5099	#	\$
100. TOTAL ESTIMATED BASIC EOG (Refer to instructions)	5100	#	\$	

SECTION VI - NARRATIVE

Please read instructions
before completing
this form.

OE VENDOR NO.

INSTITUTIONAL APPLICATION WORKSHEET

NAME OF INSTITUTION

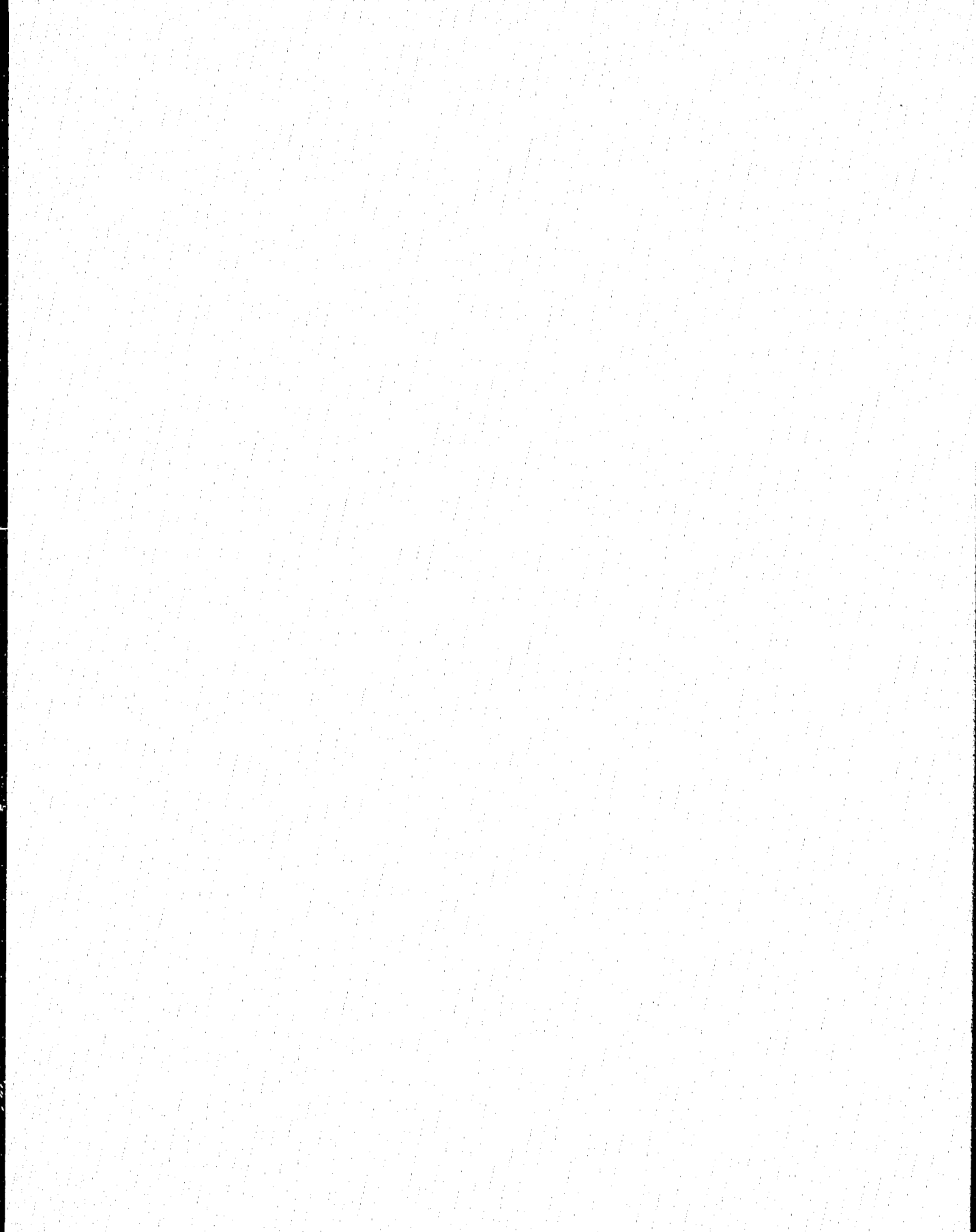
ADDRESS (Street, city, State, and ZIP code)

WORKSHEET FOR SECTION III

(This form to be completed and filed with the application)

Budget Type: _____ represents _____ % of students applying

	ACTUAL 1971-72 (a)	ESTIMATED 1972-73 (b)	PROJECTED 1973-74 (c)
1. NUMBER OF STUDENTS NEEDING AND APPLYING FOR AID	#	#	#
2. TUITION AND FEES	\$	\$	\$
3. MEALS AND HOUSING	\$	\$	\$
4. BOOKS AND SUPPLIES	\$	\$	\$
5. PERSONAL EXPENSES	\$	\$	\$
6. TRANSPORTATION	\$	\$	\$
7. ANNUAL COST PER STUDENT (Sum of lines 2 through 6)	\$	\$	\$
8. GROSS COST (Line 1 x line 7)	\$	\$	\$
9. GROSS PARENTAL CONTRIBUTION	\$	\$	\$
FOR OE USE ONLY	\$	\$	\$
10. GROSS STUDENTS' CONTRIBUTION	\$	\$	\$
FOR OE USE ONLY	\$	\$	\$
11. GROSS INSTITUTIONAL AID	\$	\$	\$
12. GROSS AID FROM ALL OTHER SOURCES	\$	\$	\$
13. GROSS RESOURCES (Sum of lines 9 through 12)	\$	\$	\$



APPENDIX E

Financial Statistics for Institutions
of Higher Education
for Fiscal Year 1972
(HEGIS Survey Document)
Instructions and Definitions

(Note that the survey document which follows corresponds to fiscal year 1971-72. This document is identical to the one from which the 1972-73 HEGIS data were obtained.)

HIGHER EDUCATION GENERAL INFORMATION SURVEY
FINANCIAL STATISTICS OF INSTITUTIONS OF HIGHER EDUCATION
FOR FISCAL YEAR ENDING 1972

INSTRUCTIONS AND DEFINITIONS

GENERAL

To avoid unnecessary overlapping of Federal surveys of the finances of your institution, this survey is designed to include the financial statistics previously collected by the U.S. Department of Commerce, Bureau of the Census Form F-15.

The definitions and instructions used here are compatible with those in College and University Business Administration, Revised Edition, published by the American Council on Education, One Dupont Circle, Washington, D.C. 20036.

Please attach supplemental information, comments, etc., on a separate sheet.

Please examine the definitions and instructions. If you need additional clarification on any of the items, please call Mr. Paul F. Mertins at (202) 962-7301, in Washington, D.C.

Data requested on this financial survey are for the fiscal year of your institution.

Data for your institution which are not kept on the books of account of your institution, but are kept on the records of another organization or agency for your institution, should be included (e.g., State schools should report or estimate the value of physical plant even though records are maintained by a State agency).

Exclude agency funds; i.e., funds handled by the institution in a custodial capacity only (e.g., funds for student organizations).

All data reported should be whole dollars only--omit cents.

Please complete this survey and return it to the U.S. Office of Education, National Center for Educational Statistics, ATTENTION Room 2136-11EGIS, 400 Maryland Avenue, SW., Washington, D.C. 20202, not later than the October 31, 1972.

NOTE: This year's form is in six parts. For any item in any part where exact data do not exist, please give estimates. Items referenced in specific instructions below will be referred to by their line numbers.

SPECIFIC

PART A - CURRENT FUNDS REVENUES BY SOURCE FOR
FISCAL YEAR ENDING 1972

LINE 1. This line is the sum of Lines 2, 3, 9, 10, 11, 18, 19, 26, 29, 30, and 31.

LINE 2. Report all tuition and fees assessed against students for educational and general purposes. Include here those tuition and fees which your State collects and returns in the form of State appropriations. Tuition and fee remissions or exemptions should be assessed and reported as student fees revenues although it is not intended to effect collection from the students. A corresponding amount, as well as the amount of other student aid granted out of current funds revenues, should be shown as expenditures of student aid grants (Part B, Line 11).

LINE 3. Governmental appropriations include all amounts received from governmental sources that are expendable for educational and general purposes. This item is the sum of Lines 4, 7, and 8.

LINE 5. Report Federal payments channeled through State finance agencies.

LINE 9. Report income from investments of restricted and unrestricted endowment, term endowment, quasi-endowment funds, Federal and State land-grant funds (land-grant institutions), and income from funds held in irrevocable trusts by others.

LINE 10. Report educational and general revenues given to the institution by any nongovernmental source. Include estimated value of services contributed by members of religious orders (a corresponding amount should be reported under expenditures). Include bequests. Do not include funds received for specified research or other sponsored programs in accordance with grants, contracts, or other written agreements.

LINE 11. Sponsored research includes revenues from outside organizations for specific research projects made in accordance with written agreements. Do not include recovery of indirect costs here. Do not include Federally Funded Research and Development Centers. Sum of Lines 12 through 15.

LINE 15. Include revenues from nongovernmental sources such as foundations, business corporations, other organizations, or individuals which are received in accordance with contracts, grants, or other written agreements. This line is the sum of Lines 16 and 17.

LINE 16. Report revenues from grants or contracts to do research of a philanthropic nature.

LINE 17. Report revenues from written agreements to do sponsored research which is not primarily philanthropic in nature (i.e., proprietary research).

LINE 18. Report gross revenues for separately organized research divisions that are not financed in the manner described for sponsored research (Line 11).

LINE 19. Include for sponsored programs all separately budgeted programs, other than research, which are supported by sponsors outside the institution. Examples are training programs, workshops, training and instructional institutes such as counseling institutes, college work-study programs, and similar activities for which payments are made in accordance with contracts, grants, or other written agreements. Sum of Lines 20 through 22.

LINE 23. Nongovernmental should include revenues from foundations and other nongovernmental sources (Lines 24 and 25).

LINE 27. Include recovery of indirect costs accruing from sponsored research and other sponsored programs (Lines 27 and 28).

LINE 29. Incidental revenues of educational departments are included here.

LINE 30. This category should contain revenues of activities organized and operated in connection with instructional departments, and conducted primarily to provide instructional or laboratory training of students. Include, also, revenues for activities of a cultural nature, e.g., concerts, dramatic productions.

LINE 31. This item is for revenues for educational and general purposes not covered elsewhere. Important items and those of major magnitude which are reported here should be explained in a separate note, or attachment, accompanying this survey.

LINE 32. Student aid grants--do not include loans or work assignments. Report only grants, scholarships, and fellowships to students for which no services or repayments are required of the student. This item is equal to the sum of Lines 33 through 38, inclusive.

LINE 39. The figure reported here should be the sum of Lines 40 and 44.

LINE 40. Report revenues from hospitals in which service to the community or State is paramount (not infirmaries for students). This line is the sum of Lines 41, 42, and 43.

LINE 41. Report gross charges revenues of the public service hospital.

LINE 42. Report all revenues from the Federal Government for the hospital.

LINE 44. Report revenues from other main service programs or activities that are primarily community or public services performed by the institution, and are not essential in meeting the educational objectives of the institution. Examples are Federally Funded Research and Development Centers, international programs, and regulatory services.

LINE 45. Auxiliary enterprises represent the sum of Lines 46 and 47. Report gross revenues of activities which furnish a service to students, faculty, or staff, and which charge a fee to cover the cost (or a portion thereof) of the service.

LINE 47. Examples of other auxiliary enterprises would be college unions, revenues from intercollegiate athletics, etc. If of major magnitude, attach to this form a note explaining which items are included in this item.

LINE 48. This line should include ALL current funds revenues. It is the sum of Lines 1, 32, 39, and 45.

PART B - CURRENT FUNDS EXPENDITURES BY FUNCTION FOR FISCAL YEAR ENDING 1972

NOTE: For Part B, report expenditures of both restricted and unrestricted funds made for current operations.

LINE 1. Report the sum of Lines 2 through 10, inclusive.

LINE 3. Include all expenditures of the departments, colleges, schools, and instructional divisions of the institution.

LINE 4. Report expenditures for those activities listed on Line 30 (Part A).

LINE 4. Report expenditures for those activities listed on Line 11 (Part A). Do NOT include expenditures for indirect costs.

LINE 5. Report expenditures for research divisions and activities which are not for sponsored research or instruction and departmental research.

LINE 6. Other sponsored programs -- report expenditures for those activities mentioned on Line 19 (Part A). Do NOT include indirect costs.

LINE 7. Extension and public service refers to educational and other activities designed primarily to serve the general public. However, do NOT include major service programs (Lines 13 and 14).

LINE 8. Libraries -- report total expenditures for separately organized libraries, both general and departmental. Include operating expenses (salaries, wages, etc.), books, subscriptions, etc.

LINE 9. Include salaries, supplies, materials, and other expenditures for maintenance and operation of all facilities except those properly charged to auxiliary enterprises and organized activities relating to instructional departments.

LINE 10. Include all expenditures of the general executive and administrative offices, expenditures for services to students, staff benefits expenditures, and other expenditures for educational and general purposes not included above. Do not include expenditures chargeable to auxiliary enterprises, organized activities, libraries, or physical plant operations.

LINE 11. Report expenditures for all student aid grants.

LINES 13 and 14. Report expenditures for those activities listed in Part A (Lines 40 and 44).

LINES 16 and 17. Report gross expenditures of all auxiliary enterprises--include their physical plant charges, general institutional expenses, administrative charges, and other indirect costs.

LINE 18. Total current funds expenditures is the sum of Lines 1, 11, 12, and 15.

LINE 19. How much of total expenditures reported by your institution on Line 18 was expended for physical plant assets? If data are not available, then estimate the figure. Distribute this amount in columns (3), (4), and (5) of Line 19. Note that these amounts should NOT be included in columns (3), (4), or (5) at Lines 1 through 18, but only in column (2) of those lines.

LINE 20. How much of total expenditures reported by your institution on Line 18 was expended for agricultural experiment stations and extension services? This sum must appear in one or more of the above expenditures items.

COLUMN (2). This column should include expenditures of current funds only. If any current funds reported in column (2) went for capital outlays, see instruction at Line 19 above.

COLUMNS (3), (4), and (5). Report at Lines 1, 13, 14, 15, and 20, all expenditures for capital outlay from bond proceeds and all other funds except for current funds reported in Column (2). In Column (3), include purchase of equipment (replacements as well as additions). In Column (4), report purchases of land and existing structures. In Column (5), report spending for new structures and other improvements, additions, replacements, and major alterations.

**PART C - PHYSICAL PLANT ASSETS FOR
FISCAL YEAR ENDING 1972**

In part C, report data on physical plant assets: land, buildings, and equipment (not plant cash or investments of plant cash). Data for your institution which are not kept on the books of account of your institution, but are kept on the records of another organization or agency for your institution, should be included (e.g., State schools should report physical plant even though records are maintained by a State agency). Estimate value of plant even though it is rented or leased.

LINE 1. Report all land values except those land values which are a part of endowment or other capital fund investments in real estate.

LINE 2. Buildings include all buildings except those which are a part of endowment or other capital funds investments on real estate.

LINE 3. Equipment includes all equipment which your institution includes as an asset on inventory records.

COLUMN (2). Book value of plant at the beginning of the fiscal year is intended as the dollar amount of value as shown on the institution's accounting records. Provide estimates for assets not recorded in the accounts of the institution.

COLUMN (3). Additions during the year are additions to plant made through purchase, by gift-in-kind from donor, and from other additions.

COLUMN (4). Deductions from the plant are deductions resulting from selling, razing, fire or other hazards, or other disposition of assets, or from obsolescence.

COLUMN (5). Book value of plant at the ending of the fiscal year is intended as the dollar amount of value as shown on the institution's accounting records. Provide estimates for assets not recorded in the accounts of the institution.

**PART D - INDEBTEDNESS ON PHYSICAL PLANT FOR
FISCAL YEAR ENDING 1972**

In Part D, report data on indebtedness liability (*principal only, not interest*) against the physical plant. Include auxiliary enterprises facilities as well as educational and general facilities. Examples of auxiliary enterprises facilities are those used for operation of housing, food service, bookstores, and other units which are classified as auxiliary enterprises. Enter zeroes or NA's if your institution has no indebtedness.

LINE 1. Balance owed on indebtedness principal at the beginning of the year is that amount shown in the liability section of the plant fund balance sheet.

LINE 2. Additional principal borrowed during the year is loans received through bonds, mortgages, notes, or any other type of financing (*including short-term notes*) and amounts borrowed from other institutional funds.

LINE 3. Payments on plant loans principal during the year is the amount expended to reduce the principal of loans, regardless of the source of funds.

LINE 4. Balance owed on indebtedness principal at the ending of the year is that amount shown in the liability section of the plant fund balance sheet. It is the sum of Line 1 plus Line 2, less Line 3.

**PART E - ENDOWMENT BY BOOK AND MARKET VALUES,
EARNINGS, AND REALIZED GAINS FOR THE
FISCAL YEAR ENDING 1972**

In Part E, report data on investment of endowment, term endowment, and quasi-endowment (*funds functioning as endowment*). If your institution has no endowment, enter zeroes or NA's.

LINE 1. Book value at the beginning of the fiscal year is the value shown on the accounting records of your institution.

LINE 2. Market value at the beginning of the fiscal year is the value shown usually in the footnotes of the annual financial report. (*If market value on some investments is not available, use whatever value was assigned by your institution, as included in the footnote.*)

LINE 3. Book value at the ending of the fiscal year is the value shown on the accounting records of your institution.

LINE 4. Market value at the ending of the fiscal year is the value shown usually in the footnotes of the annual financial report.

LINE 5. Earnings include all earnings (*not realized gains*) on investments of endowment regardless of distribution made of the earnings to various institutional funds.

LINE 6. Net realized gains are appreciations (*amount selling price is greater than purchasing price*) on securities and other investments sold during the fiscal year. Not all investments are sold at a gain. Losses should be subtracted from gains in report line here.

INSTRUCTIONS FOR PART F ON REVERSE.

**PART F - TO BE COMPLETED BY PUBLIC
INSTITUTIONS ONLY**

LINE 1. Report all gifts and grants received during the fiscal year from private individuals and organizations. Include nonrependable grants as well as benefactions available for plant expansion, or for current expenditure.

LINE 2. Report interest, dividends, rents, and other earnings on all invested funds, including endowment and plant funds. Exclude receipts from sale of securities other than any recorded profits. Exclude earnings of State land funds allocated to your institution.

LINE 3. Report total expenditures during the fiscal year for gross salaries and wages of the total academic and nonacademic staff, including paid student help and part-time employees. Include amounts for auxiliary enterprises.

LINE 4. Include such expenditures from all funds, both restricted and unrestricted. Exclude payments to students rendering services (teaching fellows, etc.).

LINE 5. Report interest paid from all funds--general, auxiliary enterprise, plant funds, etc.

LINES 6 through 9. Report bonds, mortgages, etc., with an original term of more than one year, which are payable solely from pledged earnings, charges, or fees (e.g., dormitory, stadium, and student-union revenue bonds). Include any loans (not "Commitments") from H.H.F.A. and other Federal agencies. Exclude obligations backed by a pledge of credit of the State.

LINES 10 and 11. Report bond anticipation notes, interest-bearing warrants and other obligations with a term of one year or less. Exclude accounts payable and other noninterest-bearing obligations. Do not include interfund loans, or advances from State funds.

Lines 12 through 16. Report amounts of cash on hand and on deposit, and security holdings (at par value) as to all funds and accounts of your institution except agency accounts held in private trust or custodial capacity, and any contributory employee-retirement system funds. Include endowment funds, loan funds, and plant funds, as well as current funds. Exclude accounts receivable, value of property other than securities, and any amounts held for your institution by the State Treasurer. Sinking funds (Column (2)) are reserves held specifically for redemption of the long-term debt reported in Line 9 (but exclude any amounts for interest requirements). Bond funds (Column (3)) are funds established to account for the proceeds of bond issues pending their disbursement.

LINE 12. Report cash on hand and demand and time or savings deposits.

LINE 14. Include holdings of bonds and other securities issued State and local government institutions and agencies. Exclude interfund loans and advances.

LINE 15. Report bonds, stocks (at book value), mortgages, notes student loans, etc., not included in Lines 12 through 14.

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE OFFICE OF EDUCATION WASHINGTON, D.C. 20202 HIGHER EDUCATION GENERAL INFORMATION SURVEY FINANCIAL STATISTICS OF INSTITUTIONS OF HIGHER EDUCATION FOR FISCAL YEAR ENDING 1972	PLEASE READ INSTRUCTIONS BEFORE COMPLETING THIS FORM	O.M.D. NO. 51-R0566 APPROVAL EXPIRES: 6/30/74 1. INSTITUTION CODE NUMBER 2. DUE DATE October 31, 1972
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Items 1, 3, 4, 5, and 6 MUST be completed by all institutions. If applicable, complete Items 7 and 8. Submit a separate survey form for each of the campuses or branch campuses of the institution. If it is impossible to provide separate data for any branch campus, and the data for that branch must be included in the parent institution's report, indicate this in Item 8 below.

2. NAME AND MAILING ADDRESS OF INSTITUTION OR CAMPUS COVERED BY THIS REPORT (Include city, State, and ZIP code)	4. NAME AND TITLE OF RESPONDENT
	3. TELEPHONE NUMBER OF RESPONDENT (Area code, local number and extension)

6. THE INSTITUTION COVERED BY THIS REPORT IS (Check only one)

(a) <input type="checkbox"/> A SINGLE-CAMPUS INSTITUTION (c) <input type="checkbox"/> A BRANCH CAMPUS OF A PARENT INSTITUTION (Write the name of parent institution below)	(b) <input type="checkbox"/> A MAIN CAMPUS ("Parent" Institution) WITH ONE OR MORE BRANCH CAMPUSES AND/OR OTHER CAMPUSES (Specify in Item 8 below) (d) <input type="checkbox"/> ONE OF THE ADMINISTRATIVELY EQUAL CAMPUSES OF A MULTI-CAMPUS INSTITUTION
---	---

7. IF THE INSTITUTION COVERED BY THIS REPORT IS INCLUDED IN AN "INSTITUTIONAL SYSTEM", WRITE THE NAME OF THE SYSTEM BELOW.

8. PARENT INSTITUTIONS (As checked in item 6b) SHOULD LIST THE NAMES OF ALL THEIR BRANCH CAMPUSES BELOW. USE THE FIRST COLUMN TO SHOW WHETHER DATA FOR ANY OF THESE UNITS ARE INCLUDED WITH THE DATA FOR THE "PARENT" IN THIS REPORT.

ARE DATA FOR THIS UNIT INCLUDED IN THIS REPORT?	NAME OF BRANCH CAMPUS AND/OR OTHER CAMPUS	ADDRESS (City, State, and ZIP code)
<input type="checkbox"/> YES <input type="checkbox"/> NO		
<input type="checkbox"/> YES <input type="checkbox"/> NO		
<input type="checkbox"/> YES <input type="checkbox"/> NO		

DEFINITIONS

MULTI-CAMPUS INSTITUTION. An organization bearing a resemblance to an institutional system, but unequivocally designated as a single institution with either of two organizational structures: (1) an institution having two or more campuses responsible to a central administration (which central administration may or may not be located on one of the administratively equal campuses) or (2) an institution having a main campus with one or more branch campuses attached to it.

MAIN CAMPUS. In those institutions comprised of a main campus and one or more branch campuses, the main campus (sometimes called the parent institution) is usually the location of the core, primary, or most comprehensive program. Unless the institution-wide or central administrative office for such institutions is reported to be at a different location, the main campus is also the location of the central administrative office.

BRANCH CAMPUS. A campus of an institution of higher education which is organized on a relatively permanent basis (i.e., has a relatively permanent administration), which offers an organized program or programs of work of at least 2 years (as opposed to courses), and which is located in a community different from that in which its parent institution is located. To be considered in a community different from that of the parent institution, a branch shall be located beyond a reasonable commuting distance from the main campus of the parent institution.

INSTITUTIONAL SYSTEM. A complex of two or more institutions of higher education, each separately organized or independently complete, under the control or supervision of a single administrative body.

PART A - CURRENT FUNDS REVENUES BY SOURCE FOR FISCAL YEAR ENDING 1972

SOURCE	LINE NO.	AMOUNT <i>(indicate dollars only)</i>	SOURCE	LINE NO.	AMOUNT <i>(indicate dollars only)</i>
I. EDUCATIONAL AND GENERAL REVENUES - TOTAL <i>(sum of lines 2, 3, 9, 10, 11, 18, 19, 26, 29, 30, and 31)</i>	1	\$	H. RECOVERY OF INDIRECT COSTS <i>(sum of lines 27 and 28)</i>	26	\$
A. STUDENT TUITION AND FEES	2		1. SPONSORED RESEARCH	27	
B. GOVERNMENTAL APPROPRIATIONS <i>(sum of lines 4, 7, and 8)</i>	3	\$	2. OTHER SPONSORED PROGRAMS	28	
1. FEDERAL GOVERNMENT <i>(sum of lines 5, and 6)</i>	4	\$	I. SALES AND SERVICES OF EDUCATIONAL DEPARTMENTS	29	
a. FEDERAL PAYMENTS RECEIVED THROUGH STATE CHANNELS	5		J. ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	30	
b. ALL OTHER FEDERAL APPROPRIATIONS	6		K. OTHER SOURCES	31	
2. STATE GOVERNMENT	7		II. STUDENT AID GRANTS - TOTAL <i>(sum of lines 32 through 38)</i>	32	\$
3. LOCAL GOVERNMENT	8		A. FEDERAL GOVERNMENT	33	
C. ENDOWMENT INCOME	9		D. STATE GOVERNMENT	34	
D. PRIVATE GIFTS	10		C. LOCAL GOVERNMENT	35	
E. SPONSORED RESEARCH <i>(sum of lines 12, 13, 14, and 15)</i>	11	\$	D. PRIVATE GIFTS AND GRANTS	36	
1. FEDERAL GOVERNMENT	12		E. ENDOWMENT INCOME	37	
2. STATE GOVERNMENT	13		F. OTHER STUDENT AID GRANTS	38	
3. LOCAL GOVERNMENT	14		III. MAJOR SERVICE PROGRAMS - TOTAL <i>(sum of lines 39 and 43)</i>	39	\$
4. NONGOVERNMENTAL <i>(sum of lines 16 and 17)</i>	15	\$	A. HOSPITALS <i>(sum of lines 41, 42, and 43)</i>	40	\$
a. PHILANTHROPIC	16		1. HOSPITAL CHARGES	41	
b. OTHER NONGOVERNMENTAL	17		2. FEDERAL FUNDS FOR HOSPITALS	42	
F. OTHER SEPARATELY BUDGETED RESEARCH	18		3. OTHER HOSPITAL REVENUE	43	
G. OTHER SPONSORED PROGRAMS <i>(sum of lines 20 through 23)</i>	19	\$	B. OTHER SERVICE PROGRAMS *	44	
1. FEDERAL GOVERNMENT	20		IV. AUXILIARY ENTERPRISES - TOTAL <i>(sum of lines 46 and 47)</i>	45	\$
2. STATE GOVERNMENT	21		A. HOUSING AND FOOD SERVICES	46	
3. LOCAL GOVERNMENT	22		B. OTHER AUXILIARY ENTERPRISES	47	
4. NONGOVERNMENTAL <i>(sum of lines 24 and 25)</i>	23	\$	V. TOTAL CURRENT FUNDS REVENUES - GRAND TOTAL <i>(sum of lines 1, 32, 39, and 45)</i>	48	\$
a. PHILANTHROPIC	24				
b. OTHER NONGOVERNMENTAL	25				

*Please attach a list of the names of Federally Funded Research and Development Centers for which these revenues were received

PART B - CURRENT FUNDS EXPENDITURES AND ALL EXPENDITURES FOR CAPITAL OUTLAY (ALL FUNDS) BY FUNCTION FOR FISCAL YEAR ENDING 1972

FUNCTION (1)	LINE NO.	CURRENT FUNDS EXPENDITURES (2)	EXPENDITURES FOR CAPITAL OUTLAY <i>(from all funds other than current funds)</i>		
			PURCHASE OF EQUIPMENT (3)	PURCHASE OF LAND AND BUILDINGS (4)	CONSTRUCTION (5)
I. EDUCATIONAL AND GENERAL EXPENDITURES - TOTAL <i>(sum of lines 2 through 10)</i>	1	\$	\$	\$	\$
A. INSTRUCTION AND DEPARTMENTAL RESEARCH	2				
B. ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	3				
C. SPONSORED RESEARCH	4				
D. OTHER SEPARATELY BUDGETED RESEARCH	5				
E. OTHER SPONSORED PROGRAMS	6				
F. EXTENSION AND PUBLIC SERVICE	7				
G. LIBRARIES	8				
H. PHYSICAL PLANT MAINTENANCE AND OPERATION	9				
I. OTHER EDUCATIONAL AND GENERAL	10				
II. STUDENT AID GRANTS	11	\$			
III. MAJOR SERVICE PROGRAMS - TOTAL <i>(sum of lines 13 and 14)</i>	12	\$			
A. HOSPITALS	13				
B. OTHER SERVICE PROGRAMS	14				
IV. AUXILIARY ENTERPRISES - TOTAL <i>(sum of lines 16 and 17)</i>	15	\$	\$	\$	\$
A. HOUSING AND FOOD SERVICES	16				
B. OTHER AUXILIARY ENTERPRISES	17				
V. TOTAL CURRENT FUNDS EXPENDITURES - GRAND TOTAL <i>(sum of lines 1, 11, 12, and 15)</i>	18	\$			
ESTIMATE OF AMOUNT ON LINE 18 EXPENDED FOR PHYSICAL PLANT ASSETS	19	\$			
ESTIMATE OF AMOUNT ON LINE 18 EXPENDED FOR AGRICULTURAL EXPERIMENT STATIONS AND EXTENSION SERVICES	20	\$	\$	\$	\$

PART C - PHYSICAL PLANT ASSETS FOR FISCAL YEAR ENDING 1972

TYPE OF ASSET (1)	LINE NO.	BOOK VALUE AT BEGINNING OF YEAR (2)	ADDITIONS DURING YEAR (3)	DEDUCTIONS DURING YEAR (4)	BOOK VALUE AT END OF YEAR (5)
LAND	1	\$	\$	\$	\$
BUILDINGS	2				
EQUIPMENT	3				

PART D - INDEBTEDNESS ON PHYSICAL PLANT FOR FISCAL YEAR ENDING 1972

PART E - ENDOWMENT BY BOOK AND MARKET VALUES, EARNINGS, AND REALIZED GAINS FOR FISCAL YEAR ENDING 1972

BALANCE AND TRANSACTION	LINE NO.	AMOUNT (whole dollars only)	BALANCE AND TRANSACTION	LINE NO.	AMOUNT (whole dollars only)
BALANCE OWED ON PRINCIPAL AT BEGINNING OF YEAR	1	\$	VALUE OF ENDOWMENT AT THE BEGINNING OF THE FISCAL YEAR		
			a. BOOK VALUE	1	\$
			b. MARKET VALUE	2	\$
ADDITIONAL PRINCIPAL BORROWED DURING THE YEAR	2		VALUE OF ENDOWMENT AT THE END OF THE FISCAL YEAR		
			a. BOOK VALUE	3	\$
			b. MARKET VALUE	4	\$
PAYMENTS MADE ON PRINCIPAL DURING THE YEAR	3		ENDOWMENT EARNINGS (dividends, interest, rents, etc.)	5	\$
			NET REALIZED GAINS OR LOSSES ON SALE OF INVESTMENTS	6	\$
BALANCE OWED ON PRINCIPAL AT END OF YEAR (line 1, plus line 2, minus line 3)	4	\$			

PART F - TO BE COMPLETED BY PUBLIC INSTITUTIONS ONLY

ITEM	LINE NO.	AMOUNT (whole dollars only)		
I. REVENUES (all funds)				
A. ALL PRIVATE GIFTS	1	\$		
B. EARNINGS ON INVESTMENTS	2			
II. EXPENDITURES (all funds)				
A. PERSONAL SERVICES (gross salaries and wages)	3			
B. SCHOLARSHIPS AND PRIZES	4			
C. INTEREST ON DEBT PAID FROM ALL FUNDS (enter amount here)	5			
If Part B includes any expenditures for interest, enter total amount here → <input type="text"/>				
III. DEBT OUTSTANDING, ISSUED, AND RETIRED				
A. NONGUARANTEED LONG-TERM DEBT				
1. TOTAL OUTSTANDING AT BEGINNING OF FISCAL YEAR	6			
2. TOTAL ISSUED DURING FISCAL YEAR	7			
3. TOTAL RETIRED DURING FISCAL YEAR	8			
4. TOTAL OUTSTANDING AT END OF FISCAL YEAR (line 6 plus, line 7, minus line 8)	9	\$		
B. SHORT-TERM (interest-bearing) DEBT				
1. AMOUNT OUTSTANDING AT BEGINNING OF FISCAL YEAR	10	\$		
2. AMOUNT OUTSTANDING AT END OF FISCAL YEAR	11			
IV. CASH AND SECURITY HOLDINGS AT END OF FISCAL YEAR				
		AMOUNT AT END OF FISCAL YEAR		
TYPE OF ASSET (1)	LINE NO.	Held in BANKING FUNDS (see definitions) (2)	Held in BOND FUNDS (see definitions) (3)	Held in ALL OTHER FUNDS, EXCEPT FOR ANY EMPLOYEE-RETIREMENT FUND (4)
A. CASH AND DEPOSITS	12	\$	\$	\$
B. FEDERAL SECURITIES - U.S. TREASURY OBLIGATIONS	13			
C. STATE AND LOCAL GOVERNMENT SECURITIES	14			
D. OTHER SECURITIES	15			
E. TOTAL (sum of lines 12 through 15)	16	\$	\$	\$

NOTE: file attachments for comments, supplements